On the Number of Furthest Neighbour Pairs in a Point Set

HERBERT EDELSBRUNNER¹
Department of Computer Science, University of Illinois at Urbana-Champaign, Urbana, IL 61801

STEVEN S. SKIENA

Department of Computer Science, State University of New York, Stony Brook, NY 11794

Introduction. Consider a configuration N of points in the Euclidean plane, labeled $1, 2, \ldots, n$. A point j is a furthest neighbour of i if $d(i, j) = \max_{1 \le k \le n} \{d(i, k)\}$, where d is the Euclidean distance function. An ordered pair of points (i, j), $i, j \in N$ is a furthest neighbour pair of N if j is a furthest neighbour of i. We let M(N) denote the number of furthest neighbour pairs defined by N. Each point has at least one furthest neighbour, so we have $M(N) \ge n$. Our interest is in M(n), the largest number of furthest neighbour pairs possible in a configuration of n points. Axis [1] proves M(n) = 3n - 3 for even $n \ge 4$ and $3n - 4 \le M(n) \le 3n - 3$ for odd $n \ge 5$. Counting furthest neighbour pairs can be generalized to counting repeated distances with other restrictions, as discussed in [2].

This note corrects Avis' proof for the even case and improves the result to M(n) = 3n - 4 for odd $n \ge 5$. Also, we show that a convex set of $n \ge 3$ points has at most 2n furthest neighbour pairs and that this bound is tight. Finally, we prove

¹Research supported by Amoco Fnd. Fac. Dev. Comput. Sci. 1-6-44862.

that the number of furthest neighbour pairs of n points in three dimensions is subquadratic if no three points are collinear; this is the case if the set is convex.

Results in Two Dimensions. First, we introduce some terminology which borrows from [1]. Let n_i be the number of furthest neighbours of i and let m_i be the number of points j such that (j, i) is a furthest neighbour pair. We use the distance r_i of i to its furthest neighbours as a radius to define circle C_i and disk D_i centered at i. Each disk D_i contains all points $j \in N$, so N lies in $\bigcap_{i=1}^{n} D_i$. Thus n_i can also be defined as the number of points on C_i and m_i as the number of circles passing through i.

Avis proves $M(N) \le 3n - 3$ by considering the circles C_i , i = 1, 2, ..., n, in order of non-decreasing radius, that is, $r_i \le r_{i+1}$. Each point that lies on C_k is one of two types, either one which lies on a C_j , j < k or one which does not. We let h_k be the number of points of the first type and f_k the number of the second type. By definition,

$$M(N) = \sum_{k=1}^{n} f_k + \sum_{k=2}^{n} h_k.$$

Since each point can be intersected for the first time only once, $\sum_{k=1}^{n} f_k \leq n$. This coupled with Lemma 1 gives $M(N) \leq 3n - 2$, which can be improved to the stated result.

Unfortunately, the original proof of Lemma 1 given in [1] uses an incorrect argument. We present an alternate proof:

LEMMA 1.
$$rh_k \le 2$$
 for $k = 2, 3, ..., n$.

Proof. Assume $h_k \ge 3$. A point is of the first type with respect to C_k only if it lies on the boundary B of $\bigcap_{i=1}^{k-1} D_i$. Thus C_k intersects B in at least three points. Since $r_i \le r_k$ for all i < k, at each intersection at least one side of C_k is outside B. Consequently, the intersection points divide C_k into arcs at least two of which lie outside B. The smallest of these arcs spans an angle $\theta < \pi$ with respect to k. Thus, there is an index i < k such that C_i intersects C_k in two points that belong to this arc. So an arc of C_k spanning an angle greater than π must be within C_i . This is a contradiction since $r_i \le r_k$.

We use two results to prove our main theorem. The first is a technical lemma, the other a bound on M(N) for convex sets N. In this context, a set of points is said to be *convex* if each point is a vertex of the convex hull of the set.

LEMMA 2. For a set N of 2k points on a circle C, we have M(N) < 4k.

Proof. Assume the converse, that there exists a circular arrangement N of 2k points where $M(N) \ge 4k$. Since any two different circles intersect in at most two points, each point has at most two furthest neighbours on C. Thus M(N) = 4k.

Let u and v be furthest neighbours of s on C and c be the center of C. When the points are angularly ordered around c, u and v must be consecutive points. If there were to be another point w between them, either d(s, w) > d(s, v) or $d(s, u) \neq d(s, v)$. If M(N) = 4k, a point u can be furthest neighbour of another point only if this other point has another furthest neighbour v. Now, v can only be the predecessor or the successor of u. Consequently, u is furthest neighbour of exactly two points. These two points, s and t, are also the furthest neighbours of u.

Otherwise, u has a furthest neighbour r between s and t which implies that u is also furthest neighbour of r, a contradiction. As a consequence, the points must be equally spaced around C. However, the line through s and s will intersect another point if an even number of points are equally spaced, so s has only one furthest neighbour, a contradiction. Therefore, M(N) < 4k.

THEOREM 3. For a convex set N of $n \ge 3$ points, $M(N) \le 2n$ and the bound is tight.

Proof. Label the points according to their counterclockwise order around the convex hull of N. If v_1, v_2, \ldots, v_m are the successive furthest neighbours of point i, we show that only v_m can be a furthest neighbour of i+1. Consider the perpendicular bisector between v_1 and v_2 , which passes through i. Point i+1 is on the same side of the bisector as v_1 , which means $d(i+1,v_1) < d(i+1,v_2)$ and similarly $d(i+1,v_j) < d(i+1,v_{j+1})$, j < m.

We maintain two pointers as we move around N, i and v, where v is a furthest neighbour of i. Initially i=1 and v is the first furthest neighbour of i. Each step moves v forward until it is the n_i^{th} furthest neighbour, when i is advanced. Neither i nor v can retreat. We stop when i=n and v is the n_n^{th} neighbour of n, which must be less than or equal to the initial value of v. The situation is shown in Figure 1. Each step corresponds to a furthest neighbour pair, and the only pair not defined by a step is represented by the initial configuration. Since i is advanced i times, and i at most i times, adding the initial pair gives i and i at most i times, adding the initial pair gives i and i at most i times, adding the initial pair gives i and i at most i times, adding the initial pair gives i and i at most i times, adding the initial pair gives i and i at i and i at i and i at i and i at most i times, adding the initial pair gives i and i at i and i and i at i and i and i and i at i and i and i at i and i and i at i and i at i and i at i and i and i and i and i and i and i at i and i and

To show that the upper bound is tight, take the vertices of an equilateral triangle and, for each vertex i, draw the shorter circular arc centered at i that connects the other two vertices. Pick the three vertices together with n-3 arbitrary points on the three arcs to form N; then M(N) = 2n.

With these results we can prove the exact value of M(n). For even n the result is the same as in Avis [1]. For completeness and since it is requires no extra effort we include this case.

THEOREM 4. M(n) = 3n - 3 if $n \ge 4$ is even and M(n) = 3n - 4 if $n \ge 5$ is odd.

Proof. We perform a case analysis on m, the number of points that are not vertices of the convex hull of N.

(1) Let m = 0. The *n* points form a convex set. By Theorem 3, $M(N) \le 2n$.

(2) Let m=1. Exactly one point i violates convexity. In this case, i cannot be furthest neighbour of any other point. Either $n_i=n-1$ or $n_i < n-1$. The first possibility implies the n-1 points lie on a circle. Thus $M(N) \le n-1+2(n-1)$ which implies the result for even n. If n is odd, then by Lemma 2, $M(N) < n_i + 2(n-1) \le 3n-3$. Similarly, if $n_i < n-1$ and the rest of the points are convex, by Theorem 3:

$$M(N) \leq n_i + 2(n-1) \leq n-2 + 2(n-1) \leq 3n-4.$$

(3) Let $m \ge 2$. A total of m points violate convexity. Since none of the m points can be the furthest neighbour of any other point,

$$M(N) = \sum_{k=1}^{n} n_k = \sum_{k=1}^{n} f_k + \sum_{k=2}^{n} h_k \le (n-m) + 2(n-m) \le 3n - 4.$$

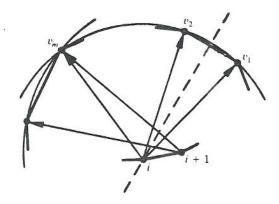


Fig. 1. Counting furthest neighbours in a convex set.

A point set N realizing this bound can be constructed. If n is even arrange n-1 points equally spaced along a circle, one point c in the center. The center point has n-1 furthest neighbours, and the n-1 points have two furthest neighbours each. Thus, M(N) = 3n - 3. If n is odd add a point along a radius between c and any other point to the construction for n-1 points. Now, the center point has n-2 furthest neighbours, and again every other point has two furthest neighbours; so M(N) = 3n - 4.

Extensions to Three Dimensions. In three dimensional Euclidean space, we can construct a set N of n points with $M(N) \ge (n^2 + 2n)/4$. Choose half of the points on a circle C and choose the other points on the perpendicular line l through the center of C. If n is odd, then let the number of points on C be one more than on l. The points on l can be picked such that for each i on l and for each j on C(i, j) is a furthest neighbour pair. Only the lower order terms of this construction can be improved (see [2]).

Note that the above construction uses about n/2 points which are collinear. Interestingly, collinear points are necessary to obtain a quadratic number of furthest neighbour pairs.

THEOREM 5. $M(N) \le c \cdot n^{5/3}$ if N is a set of n points in three dimensions such that no three points are collinear.

Proof. Let r_i be the distance from point i to its furthest neighbours and let S_i be the sphere with radius r_i and center i. Three such spheres intersect in at most two points since their centers are not collinear.

Define the 3-regular multi-hypergraph H with node set N that contains a hyperedge $\{i, j, k\}$ m times if points i, j, and k share m common furthest neighbours. Since $|S_i \cap S_j \cap S_k| \le 2$, each hyperedge can occur at most twice which implies that H has at most $2\binom{n}{3}$ hyperedges. Recall that point i is furthest neighbour of m_i other points. Thus, i contributes $\binom{m_i}{3}$ hyperedges to H. This implies

$$\sum_{i=1}^{n} \binom{m_i}{3} \leqslant 2 \binom{n}{3}.$$

as h. 1y 2

ts
ie
l.
is

.r. st

at

)e

a st :h st By the Cauchy-Schwartz inequality (see [3]), we infer

$$\sum_{i=1}^{n} m_i \leq 2^{1/3} n^{5/3} + o(n^{5/3}).$$

For example, if N is a convex set then no three of its points are collinear and therefore, $M(N) = O(n^{5/3})$. It is not known whether or not M(N) can be superlinear in this case.

Acknowledgement. We would like to thank an anonymous referee for suggestions that improved the readability of this paper.

REFERENCES

- D. Avis, The number of furthest neighbour pairs of a finite planar set, Amer. Math. Monthly, 91 (1984) 417-420.
- D. Avis, P. Erdös, and J. Pach, Repeated distance in space, manuscript, School Comput. Sci., McGill Univ., 1986.
- 3. P. R. Halmos, Finite-dimensional Vector Spaces, 2nd edition, D. Van Nostrand, Princeton, NJ, 1958.