

Continuous and Discrete Radius Functions on Tessellations and Mosaics

Ranita Biswas 

IST Austria (Institute of Science and Technology Austria), Klosterneuburg, Austria
ranita.biswas@ist.ac.at

Sebastiano Cultrera di Montesano 

IST Austria (Institute of Science and Technology Austria), Klosterneuburg, Austria
sebastiano.cultrera@ist.ac.at

Herbert Edelsbrunner 

IST Austria (Institute of Science and Technology Austria), Klosterneuburg, Austria
herbert.edelsbrunner@ist.ac.at

Morteza Saghafian 

Department of Mathematical Sciences, Sharif University of Technology, Tehran, Iran
morteza.saghafian65@student.sharif.edu

1 Abstract

2 The Voronoi tessellation in \mathbb{R}^d is defined by locally minimizing the power distance to given weighted
3 points. Symmetrically, the Delaunay mosaic can be defined by locally maximizing the negative
4 power distance to other such points. We prove that the average of the two piecewise quadratic
5 functions is piecewise linear, and that all three functions have the same critical points and values.
6 Discretizing the two piecewise quadratic functions, we get the alpha shapes as sublevel sets of
7 the discrete function on the Delaunay mosaic, and analog shapes as superlevel sets of the discrete
8 function on the Voronoi tessellation. For the same non-critical value, the corresponding shapes are
9 disjoint, separated by a narrow channel that contains no critical points but the entire level set of the
10 piecewise linear function.

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11 **1** Introduction

12 The starting point for the work reported in this paper is the role of the general position
13 assumption in the construction of Delaunay mosaics, and more specifically of their radius
14 functions. Without general position assumption, the mosaics are not simplicial and the
15 radius functions are not discrete Morse. How do we relax the theory to allow for non-generic
16 data? Related to this question is the symmetry between Voronoi tessellations and Delaunay
17 mosaics that appears when we introduce weights, and non-generic data is essential to realize
18 this symmetry. In this paper, we weave the two strands of inquiry together by studying
19 the continuous and discrete radius functions that define Voronoi tessellations and Delaunay
20 mosaics for weighted points not necessarily in general position. We prove new results on
21 these tessellations and mosaics by exploiting the structural properties of these functions.



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22 The Voronoi tessellation and the dual Delaunay mosaic are classic topics in discrete
 23 geometry and go back at least to the seminal papers by Voronoi [19] and by Delaunay [3].
 24 The radius function on the Delaunay mosaic was first introduced in [6], along with its sublevel
 25 sets, which are the alpha shapes of the given points. Three-dimensional alpha shapes have
 26 found ample applications in shape modeling [8, 11, 14] and in the analysis of biomolecules
 27 [7]. The connection to discrete Morse theory, as introduced by Forman [9] and generalized
 28 by Freij [10], was exploited for the purpose of surface reconstruction in [5]; see also [18]. We
 29 formulate the extension of discrete Morse theory needed to encompass radius functions on
 30 non-generic Delaunay mosaics and thus facilitate their application when non-generic position
 31 is essential, such as in crystallography.

32 Non-general position of points with weights is also essential when we interpret a Voronoi
 33 tessellation as a Delaunay mosaic and vice versa. By this we do not mean to take the
 34 tessellation to its dual mosaic but rather to construct a different set of weighted points
 35 whose Delaunay mosaic is essentially identical to the Voronoi tessellation of the first set.
 36 Viewing the tessellation and the mosaic as projections of the boundary complexes of convex
 37 polytopes, this construction follows by observing that the polar of a convex polyhedron is
 38 still a convex polyhedron. Notwithstanding, we get new insights into a much studied subject
 39 by looking into the details of this symmetry. We mention four such results, the first of which
 40 is combinatorial.

41 ■ Let $\mu \neq \nu$ be cells of a Voronoi tessellation, and write μ^*, ν^* for the corresponding cells
 42 in the dual Delaunay mosaic. Then $\text{int } \mu \cap \nu^* \neq \emptyset$ implies $\text{int } \nu \cap \mu^* = \emptyset$.

43 The second result is about the piecewise quadratic functions, $\text{vor}, \text{del}: \mathbb{R}^d \rightarrow \mathbb{R}$, whose pieces
 44 define the Voronoi tessellation and the dual Delaunay mosaic, respectively. Choosing opposite
 45 signs, the average defined by $sd(x) = \frac{1}{2}[\text{vor}(x) + \text{del}(x)]$ is piecewise linear. We use the above
 46 combinatorial insight to prove the following result.

47 ■ Extending concepts from smooth Morse theory to piecewise quadratic and piecewise
 48 linear functions, we show that $\text{vor}, \text{del}, sd: \mathbb{R}^d \rightarrow \mathbb{R}$ have the same critical points and the
 49 same critical values.

50 Discretizing the two piecewise quadratic functions, we get radius functions on the Voronoi
 51 tessellation and Delaunay mosaic, $\text{vor}: \text{Vor}(X) \rightarrow \mathbb{R}$ and $\text{del}: \text{Del}(X) \rightarrow \mathbb{R}$. For generic
 52 collections of weighted points, they are discrete Morse but not so for non-generic collections.

53 ■ Extending concepts from discrete Morse theory, we describe the structure of the steps of
 54 the radius functions on the Voronoi tessellation and Delaunay mosaic for weighted points
 55 in non-general position.

56 The fourth result sheds light on the relation between the sub- and superlevel sets of these
 57 discrete functions.

58 ■ We show that the underlying spaces of $\text{del}^{-1}(-\infty, t]$ and $\text{vor}^{-1}[t, \infty)$ are disjoint for all
 59 non-critical values t .

60 In particular, the channel between the two underlying spaces is free of critical points, the
 61 level set of the piecewise linear function, $sd^{-1}(t)$, splits it into two halves, and each half
 62 deformation retracts to the respective underlying space. Keeping track of the homology of
 63 the complementing subcomplexes, we get the basic relation of Alexander duality.

64 **Outline.** Section 2 presents background in discrete geometry. Section 3 studies the
 65 piecewise quadratic functions that define the Voronoi tessellation and Delaunay mosaic as
 66 well as their average, which is piecewise linear. Section 4 considers the corresponding discrete

67 functions and introduces a framework to relate their properties to the standard axioms of
 68 discrete Morse theory. Section 5 relates the sublevel sets of one with the superlevel sets of
 69 the other. Section 6 concludes the paper.

70 2 Background

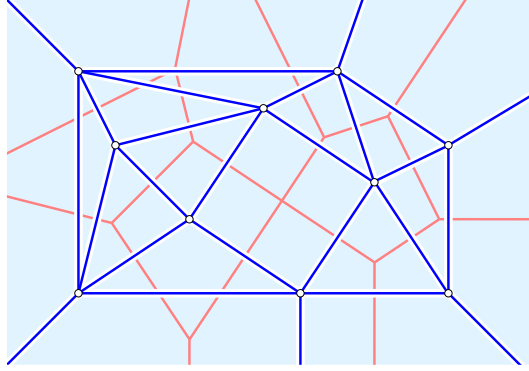
71 We review Voronoi tessellations and the dual Delaunay mosaics, which we introduce for
 72 points with real weights in Euclidean space. In addition, we describe the standard polarity
 73 transform and its relation to the tessellation and the mosaic. Finally, we explain how to view
 74 tessellations and mosaics as projections of convex polytopes.

75 2.1 Voronoi Tessellations and Delaunay Mosaics

76 We refer to $a = (p_a, w_a) \in \mathbb{R}^d \times \mathbb{R}$ as a *weighted point*, with *location* $p_a \in \mathbb{R}^d$ and *weight*
 77 $w_a \in \mathbb{R}$. Let $B \subseteq \mathbb{R}^d \times \mathbb{R}$ be a set of weighted points whose projection to \mathbb{R}^d is injective and
 78 locally finite. In other words, for every location there is an open neighborhood that separates
 79 it from the other locations. It is common to interpret $a = (p_a, w_a)$ as a sphere, with center
 80 p_a and squared radius w_a , but for this we have to allow for spheres with non-positive squared
 81 radii. The *power distance* of a point $x \in \mathbb{R}^d$ from $a = (p_a, w_a)$ is $\pi_a(x) = \|x - p_a\|^2 - w_a$. It
 82 is positive outside the sphere, zero on the sphere, and negative inside the sphere. Of course,
 83 for a sphere with negative squared radius, all points are outside. For a subset $A \subseteq B$, consider
 84 all points $x \in \mathbb{R}^d$ with equal power distance from the weighted points in A and strictly larger
 85 power distance from the other weighted points, and call its closure the (*Voronoi*) *cell* of
 86 A , denoted $\text{cell}(A)$. Each non-empty cell is a convex polyhedron in \mathbb{R}^d , and its dimension
 87 depends on A . The (*weighted*) *Voronoi tessellation* of B , denoted $\text{Vor}(B)$, is the collection of
 88 non-empty cells. It is a *polyhedral complex* in the sense that every cell is a convex polyhedron,
 89 every face of a cell is again a cell, and any two cells are either disjoint or intersect in a
 90 common face, which is therefore also a cell in the tessellation. A cell of dimension p has
 91 faces of dimension from 0 to p , and we call the faces of dimension $p - 1$ its *facets*. Define the
 92 *dual cell* of A as the convex hull of the locations in A , denoted $\text{cell}^*(A)$, which is again a
 93 convex polyhedron. The dimension of a cell and its dual cell are necessarily complementary:
 94 if $p = \dim \text{cell}(A)$ and $q = \dim \text{cell}^*(A)$, then $p + q = d$. The (*weighted*) *Delaunay mosaic*
 95 of B , denoted $\text{Del}(B)$, is the collection of dual cells. Figure 1 illustrates the concepts by
 96 drawing a Voronoi tessellation and the corresponding Delaunay mosaic on top of each other.

97 In \mathbb{R}^d , we call a Voronoi tessellation *simple* if every p -dimensional cell is face of exactly
 98 $q + 1 = d - p + 1$ top-dimensional cells, and we call a Delaunay mosaic *simplicial* if every
 99 q -dimensional dual cell is the convex hull of $q + 1$ points. Clearly, a Voronoi tessellation is
 100 simple iff the corresponding Delaunay mosaic is simplicial. We stress that this paper does
 101 not assume that $\text{Vor}(B)$ be simple and $\text{Del}(B)$ be simplicial, and we introduce these notions
 102 primarily to clarify the difference between the generic and the non-generic situation.

103 Besides $\text{Vor}(B)$ and $\text{Del}(B)$, we will be interested in subcomplexes and subsets of these
 104 complexes. To stress the difference, we note that a *subcomplex* is closed under taking faces,
 105 while a *subset* does not necessarily enjoy this property. We call a subset *open* if it is closed
 106 under taking cofaces. As an example consider a subset $K \subseteq \text{Vor}(B)$ and let $K^* \subseteq \text{Del}(B)$
 107 contain $\text{cell}^*(A)$ iff $\text{cell}(A) \in K$. Clearly, K is a subcomplex of the Voronoi tessellation iff K^*
 108 is an open subset of $\text{Del}(B)$, and vice versa. While the cells in a complex may intersect, their
 109 (relative) interiors are disjoint. Indeed, for every $x \in \mathbb{R}^d$ there is a unique cell $\tau \in \text{Vor}(B)$
 110 whose interior contains x . The same is true for the Delaunay mosaic if we restrict ourselves
 111 to points x in the convex hull of the locations. As suggested in Figure 1, we will extend the



■ Figure 1: The overlay of a Voronoi tessellation and its dual Delaunay mosaic. The former is not simple because it contains one vertex incident to four edges, and the latter is not simplicial because it contains one region with four edges. We add half-lines to the mosaic to decompose the complement of the convex hull into convex cells.

112 Delaunay mosaic artificially so that this restriction can be removed. We define the *underlying*
 113 *space* of a subset K of a polyhedral complex as the union of interiors of its cells:

$$114 \quad |K| = \{x \in \mathbb{R}^d \mid x \in \text{int } \tau \text{ for some } \tau \in K\}. \quad (1)$$

115 If K is a complex, then this is just the union of cells, but if K is not a complex, then the
 116 union of interiors is a strict subset of the union of cells.

117 2.2 Polarity

118 We introduce the *paraboloid map*, $\varpi: \mathbb{R}^d \rightarrow \mathbb{R}$, defined by $\varpi(x) = \frac{1}{2}\|x\|^2$ and we are interested
 119 in the most elementary version of polarity with respect to this paraboloid, which relates a
 120 point $u = (u_1, u_2, \dots, u_{d+1})$ in \mathbb{R}^{d+1} with the hyperplane of points $x \in \mathbb{R}^{d+1}$ that satisfy
 121 $x_{d+1} = u_1x_1 + \dots + u_dx_d - u_{d+1}$. We denote this hyperplane by u^* , we call u^* the *polar*
 122 *hyperplane* of u (with respect to ϖ), and we call $u = (u^*)^*$ the *polar point* of u^* (with respect
 123 to ϖ). Importantly, the transform preserves incidences, that is: $u \in v^*$ iff $v \in u^*$ for any two
 124 points $u, v \in \mathbb{R}^{d+1}$. The transform also preserves sidedness, which we introduce by saying that
 125 u lies *below*, *on*, *above* v^* if u_{d+1} is less than, equal to, greater than $v_1u_1 + \dots + v_du_d - v_{d+1}$.
 126 Specifically, u is above v^* iff v is above u^* , and together with the preservation of incidences,
 127 this implies u is below v^* iff v is below u^* .

128 To express the relation between the Voronoi tessellation and the Delaunay mosaic in
 129 terms of the polarity transform, we map every weighted point in $\mathbb{R}^d \times \mathbb{R}$ to a lifted point
 130 and its polar hyperplane in \mathbb{R}^{d+1} . For every weighted point $a = (p_a, w_a)$, we represent the
 131 two by a constant map and an affine map, $f_a, g_a: \mathbb{R}^d \rightarrow \mathbb{R}$:

$$132 \quad f_a(x) = \frac{1}{2}\|p_a\|^2 - \frac{1}{2}w_a, \quad (2)$$

$$133 \quad g_a(x) = \langle p_a, x \rangle - f_a(x), \quad (3)$$

134 so that $(p_a, f_a(p_a))$ is the lifted point and $\text{img } g_a = g_a(\mathbb{R}^d)$ is its polar hyperplane (with
 135 respect to ϖ). It is not difficult to verify that the average of the two maps on p_a gives us the
 136 value of ϖ on p_a :

$$137 \quad \frac{1}{2}[f_a(p_a) + g_a(p_a)] = \frac{1}{2}\|p_a\|^2 = \varpi(p_a). \quad (4)$$

138 Returning to the connection with the weighted points, the zero-set of $g_a - \varpi$ consists of the
139 points $x \in \mathbb{R}^d$ for which

$$140 \quad g_a(x) - \varpi(x) = -\frac{1}{2}\|x - p_a\|^2 + \frac{1}{2}w_a = -\frac{1}{2}\pi_a(x) \quad (5)$$

141 vanishes. In words, the zero-set of $g_a - \varpi$ is also the zero-set of π_a , namely the sphere with
142 center p_a and squared radius w_a . We call two weighted points $a = (p_a, w_a)$ and $b = (p_b, w_b)$
143 *orthogonal* if $\|p_a - p_b\|^2 = w_a + w_b$. It is a straightforward exercise to show that this is
144 equivalent to $g_a(p_b) = f_b(p_b)$ or, in words, that the lifted point of b lies on the hyperplane of
145 a . If both weights are positive, Pythagoras' theorem implies that the zero-sets of π_a and π_b
146 — which are spheres with squared radii w_a and w_b — intersect orthogonally.

147 Next, we generalize the relations between points and hyperplanes to collections $A \subseteq \mathbb{R}^d \times \mathbb{R}$
148 whose projection to \mathbb{R}^d is injective and locally finite. Write $\text{flat}(A)$ for the affine hull of
149 the locations: $\text{flat}(A) = \text{aff}\{p_a | a \in A\}$, and $\text{sol}(A)$ for the set of points $x \in \mathbb{R}^d$ that satisfy
150 $g_a(x) = g_b(x)$ for all $a, b \in A$. For example, if $A = \{a = (p_a, w_a)\}$, then $\text{flat}(A) = p_a$ and
151 $\text{sol}(A) = \mathbb{R}^d$. Assuming the locations of the points in A are affinely independent, we write
152 $q + 1 = \#A$ and $p = d - q$, and observe that

- 153 ■ $\dim \text{flat}(A) = q$ and $\dim \text{sol}(A) = p$,
- 154 ■ $\text{flat}(A)$ and $\text{sol}(A)$ are orthogonal affine subspaces of \mathbb{R}^d , and we write $y = y(A)$ for the
155 intersection point.

156 Indeed, if all weights are zero, then $\text{sol}(A)$ is the set of centers of spheres that pass through all
157 points of A . This set is a p -dimensional affine subspace of \mathbb{R}^d orthogonal to the q -dimensional
158 affine hull of A . When we adjust the weight of $a \in A$, this affine subspace does not change
159 other than by moving parallel to its initial position. So $\text{flat}(A)$ and $\text{sol}(A)$ retain the two
160 properties stated above.

161 In addition to the two affine subspaces, we introduce two affine functions, $f_A: \mathbb{R}^d \rightarrow \mathbb{R}$
162 and $g_A: \mathbb{R}^d \rightarrow \mathbb{R}$, that generalize f_a and g_a as defined in (2) and (3). Specifically, f_A agrees
163 with f_a at p_a for every $a = (p_a, w_a) \in A$ and its restriction to $\text{sol}(A)$ is constant. Similarly,
164 g_A agrees with g_a within $\text{sol}(A)$ for every $a \in A$ and its restriction to $\text{flat}(A)$ is constant.
165 Recall that $y(A) = \text{sol}(A) \cap \text{flat}(A)$.

166 ► **Lemma 2.1 (Common Maximum).** *Let $A \subseteq \mathbb{R}^d \times \mathbb{R}$ be a set of weighted points whose
167 locations are affinely independent. Then $y = y(A)$ is the common maximum of*

- 168 ■ (i) the restriction of $f_A - \varpi$ to $\text{flat}(A)$,
- 169 ■ (ii) the restriction of $g_A - \varpi$ to $\text{sol}(A)$,
- 170 ■ (iii) the average, $\frac{1}{2}[f_A + g_A] - \varpi$, and in this case the value of the maximum vanishes.

171 **Proof.** We begin by mapping every location $x \in \text{flat}(A)$ to a weighted point $u \in \mathbb{R}^d \times \mathbb{R}$
172 with $p_u = x$ and $w_u = 2\varpi(x) - 2f_A(x)$, noting that $f_u(x) = f_A(x)$. Similarly, we map every
173 location $x \in \text{sol}(A)$ to $v \in \mathbb{R}^d \times \mathbb{R}$ with $p_v = x$ and $w_v = 2\varpi(x) - 2g_A(x)$, noting that
174 $f_v(x) = g_A(x)$. By construction, $g_u: \mathbb{R}^d \rightarrow \mathbb{R}$ agrees with g_A on $\text{sol}(A)$ and, symmetrically,
175 $g_v: \mathbb{R}^d \rightarrow \mathbb{R}$ agrees with f_A on $\text{flat}(A)$. Hence, $\|p_u - p_v\|^2 = w_u + w_v$, which for positive
176 weights is equivalent to the zero-sets of π_u and π_v intersecting orthogonally. Observe that
177 this is true for all pairs $(p_u, p_v) \in \text{flat}(A) \times \text{sol}(A)$, so we have what for two lines in \mathbb{R}^2 is
178 sometimes called a coaxal system [17].

179 If we now fix v with $p_v \in \text{sol}(A)$, we get u with minimum weight by minimizing $\|p_v - p_u\|^2$.
180 This minimum is attained for $p_u = y$, and since $w_u = 2\varpi(p_u) - 2f_A(p_u)$, this implies that y
181 maximizes $f_A - \varpi$, as claimed in (i). The proof of (ii) is symmetric.

182 While we considered only the restrictions of f_A and g_A to affine subspaces, they are
 183 defined on the entire \mathbb{R}^d . Hence, the map $f: \mathbb{R}^d \rightarrow \mathbb{R}$ sending x to $f(x) = \frac{1}{2}[f_A(x) + g_A(x)]$
 184 is well defined. It is affine since f_A and g_A are affine. Letting x' and x'' be the orthogonal
 185 projections of $x \in \mathbb{R}^d$ onto $\text{flat}(A)$ and $\text{sol}(A)$, respectively, we have $f(x) = \frac{1}{2}[f_A(x') + g_A(x'')]$.
 186 At the intersection of the two affine subspaces, we have $f(y) - \varpi(y) = 0$ by (4). At every
 187 other point $x \in \mathbb{R}^d$, $f(x) - \varpi(x) < 0$, simply because $f_A(x') - \varpi(x') \leq f_A(y) - \varpi(y)$ and
 188 $g_A(x'') - \varpi(x'') \leq g_A(y) - \varpi(y)$, with strict inequality at least once. This implies (iii). \square

189 We note that (iii) implies that the graph of $\frac{1}{2}[f_A + g_A]$ is the unique hyperplane in \mathbb{R}^{d+1}
 190 that touches the graph of ϖ in the point $(y, \varpi(y))$.

191 2.3 Projection of Envelopes

192 Since the Voronoi tessellation is defined in terms of minimum power distance, it can equally
 193 well be defined in terms of maximum affine function values. Specifically, let $\text{env}: \mathbb{R}^d \rightarrow \mathbb{R}$ be
 194 the upper envelope of the affine maps: $\text{env}(x) = \max_{a \in B} g_a(x)$, and call the linear pieces
 195 of this envelope the *faces* of env . It is not difficult to see that there is a bijection between
 196 the faces of env and the cells of $\text{Vor}(B)$ such that every cell is the vertical projection of the
 197 corresponding face to \mathbb{R}^d . This property was known already to Voronoi [19].

198 A similar construction exists for Delaunay mosaics, which is usually phrased in terms of
 199 the convex hull of the points $(p_a, f_a(p_a))$ in \mathbb{R}^{d+1} . We call a face of this convex polytope
 200 *lower* if there is a non-vertical hyperplane in \mathbb{R}^{d+1} such that the face lies in the hyperplane
 201 and the rest of the polytope lies above it. It is not difficult to see that there is a bijection
 202 between the lower faces of this polytope and the cells of $\text{Del}(B)$ such that every cell is the
 203 vertical projection of the corresponding lower face to \mathbb{R}^d . In this paper, it is convenient to
 204 add arbitrarily steep “ramps” around the polytope whose vertical projections decompose the
 205 rest of \mathbb{R}^d into convex cells. In other words, we introduce $\text{end}: \mathbb{R}^d \rightarrow \mathbb{R}$ as the upper envelope
 206 of all affine maps $g_c: \mathbb{R}^d \rightarrow \mathbb{R}$ that satisfy $g_c(x) \leq y$ for every point $(x, y) \in \mathbb{R}^d \times \mathbb{R}$ of the
 207 polytope. Most of these maps are redundant, except those whose graphs support facets, and
 208 the ramps that support $(d - 1)$ -dimensional faces on the silhouette of the polytope. Then
 209 there is a set of weighted points, $C \subseteq \mathbb{R}^d \times \mathbb{R}$, possibly including a point at infinity, whose
 210 projection to \mathbb{R}^d is locally finite such that $\text{end}(x) = \max_{c \in C} g_c(x)$. Now we have complete
 211 symmetry and can write $\text{Del}(B) = \text{Vor}(C)$ as well as $\text{Vor}(B) = \text{Del}(C)$. We call C the *polar*
 212 *set* of B and, symmetrically, B the polar set of C .

213 3 Continuous Functions

214 In this section, we consider two piecewise quadratic functions, whose pieces define the Voronoi
 215 tessellation and its dual Delaunay mosaic. The main result is that these two functions and
 216 their piecewise linear average have the same critical points.

217 3.1 Piecewise Quadratic and Piecewise Linear Functions

218 Recall that $\text{env}, \text{end}: \mathbb{R}^d \rightarrow \mathbb{R}$ are piecewise linear convex functions. Comparing them with
 219 ϖ , we get two piecewise quadratic functions, $\text{vor}, \text{del}: \mathbb{R}^d \rightarrow \mathbb{R}$, and one piecewise linear

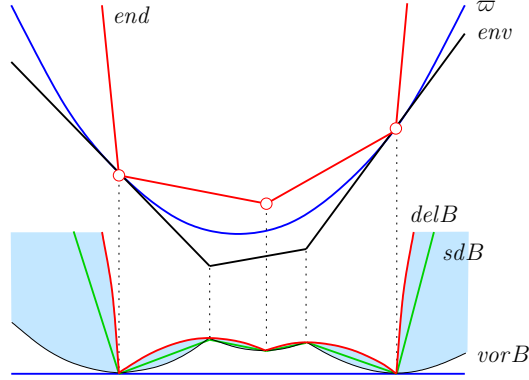
220 function, $sd: \mathbb{R}^d \rightarrow \mathbb{R}$, defined by

$$221 \quad vor(x) = \varpi(x) - env(x), \quad (6)$$

$$222 \quad del(x) = end(x) - \varpi(x). \quad (7)$$

$$223 \quad sd(x) = \frac{1}{2}[end(x) - env(x)] = \frac{1}{2}[del(x) + vor(x)]. \quad (8)$$

224 As illustrated in Figure 2, del dominates vor , which implies that their average, sd , is sandwiched between them. To prove this formally, we introduce the *common subdivision* of



225 ■ Figure 2: The paraboloid function, the two envelope functions, and their piecewise quadratic and piecewise linear differences.

226 the tessellation and the mosaic, denoted $Sd(B)$, which consists of all cells $\gamma = \tau \cap \sigma^*$ with
227 $\tau \in Vor(B)$ and $\sigma^* \in Del(B)$. Since τ and σ^* are convex, so is γ . The restrictions of del and
228 of vor to γ are quadratic, while the restriction of sd to γ is linear.

229 ► **Lemma 3.1** (Sandwich). *Let $B \subseteq \mathbb{R}^d \times \mathbb{R}$ have an injective and locally finite projection to*
230 \mathbb{R}^d . *Then $del(x) \geq sd(x) \geq vor(x)$ for every $x \in \mathbb{R}^d$.*

231 **Proof.** Let $a \in \mathbb{R}^d \times \mathbb{R}$ such that $f_a(p_a) = env(p_a)$. Hence, $f_a(p_a) \geq g_b(p_a)$ for all $b \in B$,
232 with equality at least once. Since the polarity transform preserve sidedness, we have
233 $f_b(p_b) \geq g_a(p_b)$, for all $b \in B$, and therefore $end(y) \geq g_a(y)$ for all $y \in \mathbb{R}^d$, which includes
234 $y = p_a$. Writing $x = p_a$, this implies

$$235 \quad del(x) - vor(x) = end(x) + env(x) - 2\varpi(x) \geq g_a(x) + f_a(x) - 2\varpi(x), \quad (9)$$

236 in which the right-hand side vanishes because of (4). This implies the claimed inequalities.

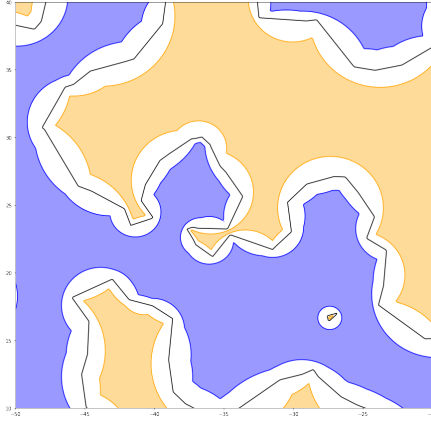
237 □

238 The inequalities in Lemma 3.1 imply that the sublevel sets and the superlevel sets of the
239 three functions are nested:

$$240 \quad del^{-1}(-\infty, t] \subseteq sd^{-1}(-\infty, t] \subseteq vor^{-1}(-\infty, t], \quad (10)$$

$$241 \quad del^{-1}[t, \infty) \supseteq sd^{-1}[t, \infty) \supseteq vor^{-1}[t, \infty). \quad (11)$$

242 The sublevel set of del and the superlevel set of vor , for a common value t , are illustrated
243 in Figure 3 together with the channel between these two sets. We will see shortly that the
244 three functions share the critical points, at which they all agree.



■ Figure 3: The *black* level set of sd splits the *white* channel into two. The corresponding superlevel set of vor is *orange* and the sublevel set of del is *blue*.

245 **3.2 Two Auxiliary Lemmas**

246 We need three auxiliary results to prove that the functions defined in (6), (7), (8) share the
 247 critical points and values, two of which will be presented in this subsection. The first result
 248 is a new combinatorial statement about Voronoi tessellations and Delaunay mosaics.

249 ► **Lemma 3.2 (Excluded Crossing).** *Let $B \subseteq \mathbb{R}^d \times \mathbb{R}$ have an injective and locally finite*
 250 *projection to \mathbb{R}^d , let $\mu \neq \nu$ be cells in $\text{Vor}(B)$ and recall that μ^*, ν^* are their dual cells in*
 251 *$\text{Del}(B)$. If $\text{int } \mu \cap \nu^* \neq \emptyset$, then $\text{int } \nu \cap \mu^* = \emptyset$.*

252 **Proof.** To reach a contradiction, assume that both intersections are non-empty, so we can
 253 choose points $x \in \text{int } \mu \cap \nu^*$ and $y \in \text{int } \nu \cap \mu^*$. Since the interiors of μ and ν are disjoint,
 254 we have $x \neq y$. Let $M, N \subseteq B$ be such that $\mu = \text{cell}(M)$ and $\nu = \text{cell}(N)$. By definition of
 255 a cell, x has the same power distance from all $a \in M$, and a strictly larger power distance
 256 from all $b \in B \setminus M$. Write $R_M = \pi_a(x)$ with $a \in M$, and write $R_N = \pi_c(y)$ with $c \in N$.
 257 Assume without loss of generality that $R_N \geq R_M$. Then every weighted point $a \in M$ satisfies
 258 $\pi_a(y) \geq R_N \geq R_M = \pi_a(x)$, so $\|y - p_a\| \geq \|x - p_a\|$. Drawing the perpendicular bisector
 259 of x and y , this implies that all p_a with $a \in M$ lie in the closed half-space that contains
 260 x . Since y lies outside this half-space, it is not contained in the convex hull of the p_a with
 261 $a \in M$, but this contradicts $y \in \mu^*$. □

262 We remark that we take the interiors of μ and ν so that the two hypothesized intersection
 263 points are different. This detail is a crucial aspect of the proof. Indeed, it is possible to have
 264 $\mu \cap \nu^* \neq \emptyset$ and $\nu \cap \mu^* \neq \emptyset$: let ν^* be a right-angled triangle in \mathbb{R}^2 and μ^* its longest edge.
 265 Then ν is the circumcenter of the triangle, which lies on μ^* , and μ has ν as an endpoint.

266 Write \mathbb{S}^{d-1} for the unit sphere in \mathbb{R}^d . The second result is a geometric statement about
 267 the common intersection of *hemispheres*, which are closed subsets of \mathbb{S}^{d-1} that are bounded
 268 by great-spheres of dimension $d - 2$. Note that a unit vector, $e \in \mathbb{S}^{d-1}$, defines both a point
 269 as well as a hemisphere, namely the one whose points $y \in \mathbb{S}^{d-1}$ satisfy $\langle e, y \rangle \leq 0$.

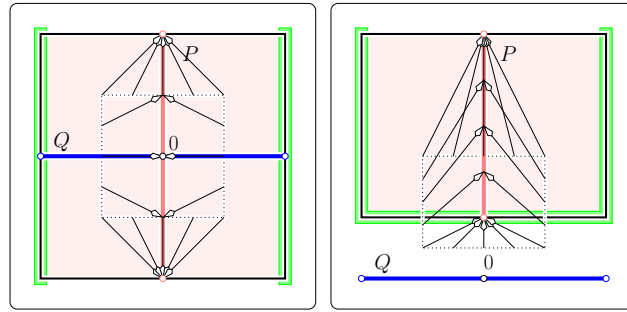
270 ► **Lemma 3.3 (Hemispheres).** *The common intersection of a collection of hemispheres of*
 271 *\mathbb{S}^{d-1} is either contractible or a $(p - 1)$ -dimensional great-sphere with $0 \leq p \leq d$.*

272 **Proof.** Let $E \subseteq \mathbb{S}^{d-1}$ be the set of vectors defining the hemispheres in the given collection.
 273 If $E \neq \emptyset$ and there is a point $x \in \mathbb{S}^{d-1}$ with $\langle e, x \rangle < 0$ for all $e \in E$, then the hemispheres

274 have a non-empty and contractible common intersection. Otherwise, let $x \in \mathbb{S}^{d-1}$ such
 275 that $\langle e, x \rangle \leq 0$, for all $e \in E$, with equality for a minimum number of vectors. If x does
 276 not exist, then the intersection of hemispheres is empty, which is the case $p = 0$ in the
 277 claimed statement. When x exists, it may not be unique, but the vectors e for which the
 278 scalar product vanishes are unique. Similarly, the linear span of these vectors is unique,
 279 and letting $0 \leq d - p \leq d$ be its dimension, the common intersection of the hemispheres is
 280 a $(p - 1)$ -dimensional great-sphere. The case $p = d$ corresponds to an empty collection of
 281 hemispheres so that the common intersection is the entire \mathbb{S}^{d-1} . \square

282 3.3 In- and Out-Links

283 The third result is a topological statement about vector fields defined by two convex polytopes,
 284 $P, Q \subseteq \mathbb{R}^d$, whose dimensions are complementary, $p = \dim P$ and $q = \dim Q$ with $p + q = d$,
 285 and whose affine hulls intersect in a single point. The product, $P \times Q$, is a convex polytope
 286 of dimension d . Its boundary is a topological $(d - 1)$ -sphere that decomposes into a thickened
 287 $(p - 1)$ -sphere and a thickened $(q - 1)$ -sphere: $\partial(P \times Q) = (\partial P \times Q) \cup (P \times \partial Q)$. Indeed, for
 288 every $s \in \partial(P \times Q)$, there are unique points $y \in P$ and $z \in Q$ such that $s = y + z$, and at least
 289 one of y and z belongs to the respective boundary. We are interested in $\psi: \partial(P \times Q) \rightarrow \mathbb{S}^{d-1}$
 defined by mapping $s = y + z$ to $\psi(s) = \frac{1}{2}(y - z)$; see Figure 4 for an illustration. To study



■ Figure 4: The map $\psi: \partial(P \times Q) \rightarrow \mathbb{S}^1$ illustrated for two intersecting line segments on the *left* and for two disjoint line segments on the *right*. For better visualization, we anchor the vectors at the boundary points of $\frac{1}{2}(P \times Q)$, and we highlight the in-links in green.

290 ψ , we introduce the *in-link* and *out-link* of P and Q :

$$292 \quad \text{inLk}(P, Q) = \{s \in \partial(P \times Q) \mid \langle \psi(s), \mathbf{n}(s) \rangle \leq 0\}, \quad (12)$$

$$293 \quad \text{outLk}(P, Q) = \{s \in \partial(P \times Q) \mid \langle \psi(s), \mathbf{n}(s) \rangle \geq 0\}, \quad (13)$$

294 in which $\mathbf{n}(s)$ is the unit outward directed normal at s . This normal is unique for every facet,
 295 which we recall is a face of dimension $d - 1$, but it is not unique for faces of dimension $d - 2$ or
 296 less. We remedy this difficulty by writing $\mathbf{n}(s)$ for the collection of normals that interpolate
 297 between the normals of the incident facets, and by including s in the in- or out-link if the
 298 respective inequality is satisfied for at least one vector in $\mathbf{n}(s)$. In the left panel of Figure
 299 4, the in-link consists of the left edge and the right edge of the product, while the out-link
 300 consists of the remaining two edges. Both have the homotopy type of the 0-sphere. In the
 301 right panel, the in-link consists of three edges, with the out-link containing the remaining,
 302 top edge. Both links are contractible. The important difference is that P and Q intersect in
 303 the left panel while they are disjoint in the right panel.

304 ► **Lemma 3.4** (In- and Out-Link). *Let $P, Q \subseteq \mathbb{R}^d$ be convex polytopes with orthogonal affine*
 305 *hulls of complementary dimensions: $p = \dim P$, $q = \dim Q$, and $p + q = d$. Then*

$$306 \quad \text{int } P \cap \text{int } Q \neq \emptyset \implies \text{inLk}(P, Q) \simeq \mathbb{S}^{q-1}, \text{outLk}(P, Q) \simeq \mathbb{S}^{p-1}, \quad (14)$$

$$307 \quad P \cap Q = \emptyset \implies \text{inLk}(P, Q) \text{ and } \text{outLk}(P, Q) \text{ contractible}, \quad (15)$$

$$308 \quad \text{int } P \cap \text{int } Q = \emptyset \text{ and } P \cap Q \neq \emptyset \implies \text{inLk}(P, Q) \text{ or } \text{outLk}(P, Q) \text{ contractible}. \quad (16)$$

309 **Proof.** Assume that the affine hulls of P and Q intersect at $0 \in \mathbb{R}^d$. Every facet E of
 310 $R = P \times Q$ is either of the form $F \times Q$ or $P \times G$, in which F and G are facets of P and Q ,
 311 respectively. Whether or not E belongs to the in-link or the out-link depends on the relative
 312 position of E and 0 , and the rule is opposite for the two forms. To explain, we call E *visible*
 313 (from 0) if $\langle \mathbf{n}(s), s \rangle \leq 0$ for every $s \in E$ and *invisible* (from 0) if $\langle \mathbf{n}(s), s \rangle \geq 0$ for every $s \in E$.
 314 We observe that $\text{inLk}(P, Q)$ contains all visible facets E of the form $E = F \times Q$ and all
 315 invisible facets of the form $E = P \times G$, while $\text{outLk}(P, Q)$ contains all invisible facets of the
 316 first type and all visible facets of the second type.

317 In the first case, when $\text{int } P \cap \text{int } Q \neq \emptyset$, 0 belongs to the interior of R . Hence all facets
 318 of R are invisible, which implies that the in-link is $P \times \partial Q$, which has the homotopy type of
 319 a $(q - 1)$ -sphere. Symmetrically, the out-link is $\partial P \times Q$, which has the homotopy type of the
 320 $(p - 1)$ -sphere. This proves (14).

321 To prepare the second case, consider a q -dimensional convex polytope Q in \mathbb{R}^q , and let
 322 $0 \in \mathbb{R}^q$ be outside Q and not contained in the affine hull of any of its facets. This partitions
 323 the facets into the visible and invisible ones from 0 . Letting H be a hyperplane that separates
 324 0 from Q , we can apply a projective transformation that maps H to infinity, 0 to another
 325 point $0'$, and Q to another convex polytope Q' , all in \mathbb{R}^q . We may imagine this transform
 326 moves H to infinity, pushing 0 in front of it to disappear to infinity and then return from
 327 the other side. Importantly, a facet of Q is visible from 0 iff the corresponding facet of Q' is
 328 invisible from $0'$. We will make use of this construction shortly.

329 In the second case, when $P \cap Q = \emptyset$, not all facets of R are invisible. Since $0 \notin R$, it
 330 is outside at least one of P and Q , and we assume without loss of generality $0 \notin Q$. To
 331 distinguish the two types of facets of R , we consider P and Q within their respective affine
 332 hulls. Specifically, there is a bijection between the visible facets of R on the one side, and the
 333 visible facets of P inside $\text{aff } P$ and of Q inside $\text{aff } Q$ on the other side. For the in-link, we need
 334 the visible facets of P and the invisible facets of Q , so we apply a projective transformation
 335 that maps Q to Q' and 0 to $0'$ — all still in $\text{aff } Q$ — such that a facet of Q is invisible from
 336 0 iff the corresponding facet of Q' is visible from $0'$. This transformation does not affect P .
 337 We get a new product, $R' = P \times Q'$ and we are interested in the part of the boundary that is
 338 visible from $0'$. Since R' is convex and $0' \notin R'$, this part of $\partial R'$ is contractible, which implies
 339 that the corresponding part of ∂R , which is $\text{inLk}(P, Q)$, is also contractible. Symmetrically,
 340 the invisible part of $\partial R'$ is contractible, which implies that $\text{outLk}(P, Q)$ is also contractible.
 341 This proves (15).

342 In the third case, when $\text{int } P \cap \text{int } Q = \emptyset$ and $P \cap Q \neq \emptyset$, 0 belongs to ∂R . The facets
 343 that contain 0 are both visible and invisible (from 0). Assume $0 \in \partial Q$. Then we can move
 344 0 to $0'$, still within $\text{aff } Q$ but slightly outside Q , in such a way that a facet of Q is visible
 345 from 0 iff it is visible from $0'$. Now we are in the second case as far as the visible facets of
 346 Q are concerned, which implies that the out-link of P and Q is contractible. This proves
 347 (16). Note that this construction is not symmetric, as moving 0 to $0''$ inside Q preserves the
 348 invisible facets of Q but does not imply a contractible in-link. However, we need only one
 349 contractible link, which completes the proof. \square

3.4 Up- and Down-Links

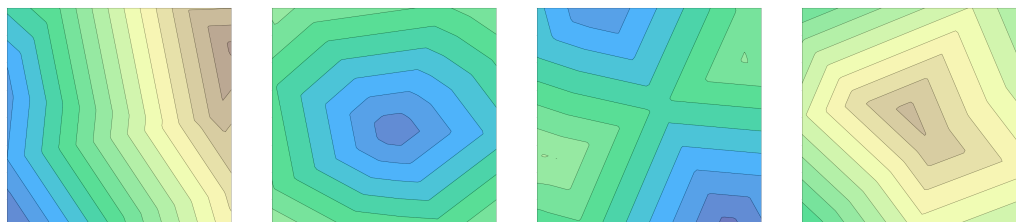
Since the continuous functions we study are not smooth, it is necessary to define what we mean by a critical point. We need a definition that is general enough to apply to piecewise linear and to piecewise quadratic functions. Letting $f: \mathbb{R}^d \rightarrow \mathbb{R}$ be such a function and $x \in \mathbb{R}^d$, we write $S_r = S_r(x)$ for the $(d-1)$ -sphere with radius $r > 0$ and center x . Letting S_r^- contain all $y \in S_r$ with $f(y) \leq 0$, we note that its homotopy type is the same for all sufficiently small radii. Fixing a sufficiently small $\varepsilon > 0$, we call S_ε^- the *down-link* of x and f , denoted $dnLk(x, f)$. Symmetrically, S_r^+ contains all points $y \in S_r$ with $f(y) \geq 0$, and we call S_ε^+ the *up-link* of x and f , denoted $upLk(x, f)$. We call x a *non-critical point* of f if at least one of the two links is contractible. All points with topologically more complicated up- and down-links are *critical points* of f , where we note that the empty link is not contractible. See Figure 5 for the local pictures that arise for a 2-dimensional piecewise linear function. In the generic case, the down-link is contractible iff the up-link is contractible. The “at least one” rule is used to classify borderline cases as non-critical. An example is the southern hemisphere as the down-link and the northern hemisphere together with the south-pole as the up-link.

To study the critical points of $f = vor$, we fix $x \in \mathbb{R}^d$ and let $A \subseteq B$ be the subset of weighted points such that $x \in \text{int cell}(A)$. Setting $h^2 = vor(x)$, x lies on the boundary of $vor^{-1}(-\infty, h^2]$, which is a union of closed balls, namely the balls with centers p_a and squared radii $w_a + h^2$, for $a \in B$. Specifically, x lies on the boundary of such a ball if $a \in A$, and it lies outside the ball if $a \in B \setminus A$. We get the two links by intersecting the union and its closed complement with a sphere of sufficiently small radius ε :

$$dnLk(x, vor) = S_\varepsilon(x) \cap vor^{-1}(-\infty, h^2], \quad (17)$$

$$upLk(x, vor) = S_\varepsilon(x) \cap vor^{-1}[h^2, \infty). \quad (18)$$

Scaling the small sphere back to unit size, we get a closed cap that approximates the complement of a hemisphere arbitrarily closely for each $a \in A$, and the down-link as the union of these caps. By Lemma 3.3, there are only $d+2$ possible shapes for $dnLk(x, vor)$, namely either contractible or a thickened $(q-1)$ -dimensional great sphere for $0 \leq q \leq d$. Symmetrically, there are only $d+2$ possible shapes for $upLk(x, vor)$, namely either contractible or a thickened $(p-1)$ -dimensional great sphere with $p = d - q$. If at least one of the two links is contractible, then x is a non-critical point of vor , and otherwise, it is a critical point with index q . The symmetric argument applies to del , so x can be either a non-critical point of del or a critical point with the same index, q .



■ Figure 5: From *left to right*: typical patterns of level sets in the neighborhood of a non-critical point, a minimum (index 0), a saddle (index 1), and a maximum (index 2) in two dimensions. The corresponding down-link is a single contractible arc, empty, two disjoint contractible arcs, and the full circle, respectively. The patterns are cut out of the larger context in Figure 9(d), where the middle level set is shown using thin black lines.

383 **3.5 Coincidental Critical Points**

384 Recall that $del(x) \geq sd(x) \geq vor(x)$ by Lemma 3.1. We strengthen this result by proving
 385 further connections between the three functions. Specifically, we prove that every point
 386 $x \in \mathbb{R}^d$ is of the same type for vor and for del , as well as for their average. Recall that the
 387 restriction of the latter to a d -dimensional cell $\gamma = \tau \cap \sigma^*$ satisfies

$$388 \quad sd(x) = \frac{1}{2}[del(x) + vor(x)] = \frac{1}{2}[-\frac{1}{2}\pi_c(x) + \frac{1}{2}\pi_b(x)] = \frac{1}{2}\langle x, p_c - p_b \rangle + \text{const}, \quad (19)$$

389 in which $b \in B$ and $c \in C$ such that $\tau = \text{cell}(b)$ and $\sigma^* = \text{cell}(c)$. Hence, $p_c - p_b$ is twice the
 390 gradient of sd at every point in $\text{int } \gamma$. We use this insight to prove the main result of this
 391 section.

392 **► Theorem 3.5 (Coincidental Critical Points).** *Let $B \subseteq \mathbb{R}^d \times \mathbb{R}$ have an injective and*
 393 *locally finite projection to \mathbb{R}^d . Then $x \in \mathbb{R}^d$ is a critical point of $vor: \mathbb{R}^d \rightarrow \mathbb{R}$ iff it is*
 394 *a critical point of $del: \mathbb{R}^d \rightarrow \mathbb{R}$ iff it is a critical point of $sd: \mathbb{R}^d \rightarrow \mathbb{R}$, and in this case*
 395 *$del(x) = sd(x) = vor(x)$ and the index of x is the same for all three functions.*

396 **Proof.** We prove that $x \in \mathbb{R}^d$ is a critical point (of vor , del , and sd) iff $x = \text{int } \nu \cap \text{int } \nu^*$ for
 397 a cell $\nu \in \text{Vor}(B)$ and its dual cell $\nu^* \in \text{Del}(B)$, and that the index of such a critical point is
 398 $q = \dim \nu^*$. Furthermore, $del(x) = sd(x) = vor(x)$ in this case by (4).

399 We begin with $f = vor$, which maps every $x \in \mathbb{R}^d$ to half the smallest power distance
 400 to a weighted point in B . The restriction of vor to a cell ν is also the restriction of a
 401 quadratic function on $\text{aff } \nu$ to ν . This quadratic function has a unique minimum, namely
 402 at $y = \text{aff } \nu \cap \text{aff } \nu^*$. The only possibility for a point $x \in \text{int } \nu$ to be a critical point of
 403 vor is therefore $x = y$. This implies that $\text{int } \nu \cap \text{aff } \nu^* \neq \emptyset$ is necessary for x to be critical.
 404 Symmetrically, $\text{aff } \nu \cap \text{int } \nu^* \neq \emptyset$ is necessary, which implies that $\text{int } \nu \cap \text{int } \nu^* \neq \emptyset$ is necessary.
 405 It is easy to see that the latter condition is also sufficient because vor increases along all
 406 directions within $\text{aff } \nu$ and it decreases in all directions within $\text{aff } \nu^*$. The index is the
 407 dimension of the affine subspace within which x is a maximum of f , which is $q = \dim \nu^*$, as
 408 claimed. The argument for $f = del$ is symmetric and therefore omitted. The index is still q ,
 409 and not p as suggested by symmetry, because del maps every $x \in \mathbb{R}^d$ to the *negative* of the
 410 smallest power distance to a weighted point in C .

411 The argument for $f = sd$ is more involved. Since this function is piecewise linear, the
 412 only possible critical points are the vertices of $\text{Sd}(B)$. To simplify the argument, we assume
 413 that cells ν and μ^* with complementary dimensions have interiors that are either disjoint or
 414 intersect in a single point, which is therefore a vertex of $\text{Sd}(B)$. Writing $u = \text{int } \nu \cap \text{int } \mu^*$,
 415 we let $S_\varepsilon(u)$ be a sufficiently small sphere centered at u . It intersects a cell of $\text{Sd}(B)$ iff that
 416 cell is incident to u . The intersections of these cells with $S_\varepsilon(u)$ define a cell complex on the
 417 sphere. By construction, μ is dual to the collection of cells incident to μ^* , and ν^* is dual to
 418 the collection of cells incident to ν . Setting $P = \mu$ and $Q = \nu$, this implies that $P \times Q$ is
 419 dual to the collection of cells incident to u , and the boundary complex of $P \times Q$ is dual to
 420 the complex on $S_\varepsilon(u)$. Every point $v \in \mathbb{S}^{d-1}$ is a direction, and we write $sd_v(u)$ for the right
 421 derivative of sd at u in the direction v . The goal is to prove that the down- and up-links of
 422 u and sd are closely related to the in- and out-links of P and Q , namely

$$423 \quad dnLk(u, sd) \simeq inLk(P, Q) \quad \text{and} \quad upLk(u, sd) \simeq outLk(P, Q). \quad (20)$$

424 By Lemma 3.4, the in- and out-links of P and Q either have the homotopy types of \mathbb{S}^{q-1} and
 425 \mathbb{S}^{p-1} , if $\text{int } P \cap \text{int } Q \neq \emptyset$, or at least one link is contractible, if $\text{int } P \cap \text{int } Q = \emptyset$. Assuming
 426 (20), this implies that the down- and up-links of u and sd have the homotopy types of \mathbb{S}^{q-1}

427 and \mathbb{S}^{p-1} , if $\nu = \mu$, and at least one is contractible, if $\nu \neq \mu$. Indeed, $\nu \neq \mu$ together with
 428 $\text{int } \nu \cap \text{int } \mu^* \neq \emptyset$ implies $\text{int } P \cap \text{int } Q = \emptyset$ by Lemma 3.2.

429 We finally prove (20). Recall that every vertex of $P \times Q$ corresponds to a d -cell of $\text{Sd}(B)$
 430 incident to u , and every facet corresponds to an edge incident to u . Recall also that the map
 431 $\psi: \partial(P \times Q) \rightarrow \mathbb{S}^{d-1}$ introduced in Section 3.3 sends every vertex $s = y + z$ of $P \times Q$ to
 432 $\psi(s) = \frac{1}{2}(y - z)$. In the notation of equation (19), $y = p_c$ and $z = p_b$, so $\psi(s)$ is the gradient
 433 of sd restricted to the d -cell in $\text{Sd}(B)$ that corresponds to s . To continue, we assume u is
 434 the origin of \mathbb{R}^d , we consider a facet E of $P \times Q$, and we let e be the corresponding edge of
 435 $\text{Sd}(B)$ emanating from u . Observe that the gradient of the restriction of sd to the edge e is
 436 a constant multiple of the unit outer normal of $P \times Q$ at E , $\text{const} \cdot \mathbf{n}_E$.

437 If a linear function $g: \mathbb{R}^d \rightarrow \mathbb{R}$ agrees with sd along e , then the projection of ∇g onto the
 438 line spanned by \mathbf{n}_E is the gradient of the restriction. It follows that $\langle \nabla g, \mathbf{n}_E \rangle = \text{const}$, and
 439 this holds in particular for the linear functions that correspond to the vertices of E . The
 440 gradient of any affine combination is the affine combination of the gradients. Hence, there is a
 441 unique affine combination of the functions corresponding to the vertices of E whose gradient
 442 is shortest, denoted g_E , and this gradient is of course $\text{const} \cdot \mathbf{n}_E$. It follows that \mathbf{n}_E belongs
 443 to $\text{dnLk}(u, sd)$ iff E belongs to $\text{inLk}(P, Q)$. By the nerve theorem, the full subcomplex of
 444 the decomposition of $S_\varepsilon(u)$ defined by the vertices with non-positive $\langle \nabla g_E, \mathbf{n}_E \rangle$ has the same
 445 homotopy type as $\text{inLk}(P, Q)$. The rest of the down-link deformation retracts to this full
 446 subcomplex, which implies the left homotopy equivalence in (20). The symmetric argument
 447 relating the up-link of u and sd with the out-link of P and Q implies the right homotopy
 448 equivalence in (20). This completes the proof. \square

449 4 Discrete Functions

450 Parallel to the continuous functions studied in Section 3, we introduce discrete functions on
 451 the Voronoi tessellation, the Delaunay mosaics, and their common subdivision. We then
 452 study the structure of their steps, which we classify depending on their effect on the homology
 453 of the sublevel set.

454 4.1 Discrete Morse Theory

455 Letting K be a polyhedral complex in \mathbb{R}^d , we call $f: K \rightarrow \mathbb{R}$ a *discrete function*. It is
 456 *monotonic* if $f(\nu) \leq f(\mu)$ whenever ν is a face of μ in K , and it is *anti-monotonic* if $-f$
 457 is monotonic. For every $t \in \mathbb{R}$, we call $f^{-1}(t)$ a *level set*, $f^{-1}(-\infty, t]$ a *sublevel set*, and
 458 $f^{-1}[t, \infty)$ a *superlevel set* of f . For completeness, we start by introducing the terminology of
 459 discrete Morse theory, which we adapt to polyhedral complexes.

460 The *Hasse diagram* of K is the directed graph whose nodes are the cells of K , with an
 461 arc from ν to μ if $\nu \subseteq \mu$ and $\dim \nu = \dim \mu - 1$. We note that $f: K \rightarrow \mathbb{R}$ is monotonic iff
 462 the values along every directed path of the Hasse diagram are non-decreasing. A *step* of f is
 463 a connected component of the Hasse diagram restricted to a level set of f , and we write ∇f
 464 for the collection of steps, which partitions K . We construct the *step graph* by taking the
 465 steps in ∇f as nodes and drawing an arc from I to J if there are cells $\nu \in I$ and $\mu \in J$ such
 466 that the Hasse diagram has an arc from ν to μ . In other words, the step graph is obtained
 467 from the Hasse diagram by contracting every arc whose end-cells share the function value. It
 468 follows that the values along every directed path of the step graph are strictly increasing.

469 A monotonic $f: K \rightarrow \mathbb{R}$ is a *discrete Morse function* if every step is either a pair or a
 470 singleton; see [9] but note that we inessentially simplified the setting by requiring that the

471 cells in a pair share the same value. The singletons contain the *critical cells* and the pairs
 472 contain the *non-critical cells* of f . Following the convention in smooth Morse theory [15],
 473 where the index of a critical point is indicative of the effect of advancing the sublevel set
 474 beyond its value, we call the dimension of a critical cell its *index*. Indeed, adding a critical
 475 p -cell gives either birth to a p -cycle or death to a $(p - 1)$ -cycle, which affects the homology
 476 of the complex accordingly. In contrast, removing the two cells of a pair $\{\nu, \mu\}$ — which is
 477 allowed only if the result is still closed — has no effect on the homotopy type and therefore
 478 on the homology of the complex [9].

479 To generalize the concept, we call a subset $J \subseteq K$ an *interval* if there are cells $\alpha, \omega \in K$
 480 such that $J = \{\nu \in K \mid \alpha \subseteq \nu \subseteq \omega\}$. In words, the interval has a unique *lower bound*, α , and
 481 a unique *upper bound*, ω , and consists of all faces of ω that have α as a face. A monotonic
 482 $f: K \rightarrow \mathbb{R}$ is a *generalized discrete Morse function* if every step is an interval; see [10]. The
 483 intervals of size one contain the *critical cells* and all other intervals contain the *non-critical*
 484 *cells* of f . Removing the cells of an interval of size larger than one from K is referred to as a
 485 *collapse*, which is allowed only if the result is still closed.

486 In the simplicial case, the Hasse diagram restricted to an interval is isomorphic to the
 487 1-skeleton of a cube of the appropriate dimension. Choosing a direction, we get a collection
 488 of parallel edges of the cube, which corresponds to a partition of the interval into pairs. In
 489 the polyhedral case, such a partition is not quite as obvious but it exists. In other words,
 490 every collapse can be decomposed into a sequence of elementary collapses. The proof of this
 491 claim reduces to the fact that every convex polytope allows for a discrete Morse function
 492 with a single critical cell, which is a vertex [2]. This implies that if L can be obtained from K
 493 by a sequence of possibly non-elementary collapses, K and L have the same homotopy type.

494 4.2 Min and Max Functions

495 Taking the minimum or maximum over all points of a cell, we turn the continuous func-
 496 tions of Section 3 into discrete functions. In particular, we introduce $\mathbf{vor}: \text{Vor}(B) \rightarrow \mathbb{R}$,
 497 $\mathbf{del}: \text{Del}(B) \rightarrow \mathbb{R}$, and $\mathbf{sdn}, \mathbf{sdx}: \text{Sd}(B) \rightarrow \mathbb{R}$ defined by

$$498 \quad \mathbf{vor}(\tau) = \max_{x \in \tau^*} \mathit{del}(x), \tag{21}$$

$$499 \quad \mathbf{del}(\sigma^*) = \min_{x \in \sigma} \mathit{vor}(x), \tag{22}$$

$$500 \quad \mathbf{sdn}(\gamma) = \min_{x \in \gamma} \mathit{sd}(x), \tag{23}$$

$$501 \quad \mathbf{sdx}(\gamma) = \max_{x \in \gamma} \mathit{sd}(x). \tag{24}$$

502 We note that \mathbf{vor} is defined in terms of del and \mathbf{del} in terms of vor . This is not a
 503 mistake but motivated by our desire to remain consistent with the standard literature on
 504 alpha shapes, where \mathbf{del} is the (squared) radius function; see [6, 8]. It is also possible to
 505 define \mathbf{vor} in terms of vor and \mathbf{del} in terms of del , which gives slightly different discrete
 506 functions with essentially the same properties. It will often be convenient to apply the
 507 discrete Voronoi and Delaunay functions to the common subdivision. Technically, these are
 508 different functions, $\mathbf{sdv}, \mathbf{sdd}: \text{Sd}(B) \rightarrow \mathbb{R}$, defined by $\mathbf{sdv}(\gamma) = \mathbf{vor}(\tau)$ and $\mathbf{sdd}(\gamma) = \mathbf{del}(\sigma^*)$,
 509 whenever $\gamma = \tau \cap \sigma^*$.

510 4.3 Classification with Homology

511 As introduced in Section 4.1, the step graph of a monotonic function defines a partial order
 512 on the steps. We can construct the complex by adding the steps one at a time according

513 to a linear extension of this partial order. To determine the effect of adding a step to a
514 subcomplex, we compute its relative homology, as we now explain.

515 Let J_0, J_1, \dots, J_m be a linear extension of the partial order defined by the step graph of
516 $f: K \rightarrow \mathbb{R}$. This order may or may not be consistent with the sublevel sets of f , in the sense
517 that the corresponding values listed in the same order may or may not be sorted. Write
518 $K_j = \bigcup_{0 \leq i \leq j} J_i$, note that K_j is closed, and get $K_{j+1} = K_j \sqcup J_{j+1}$ by adding the next
519 step. To describe how the addition of $J = J_{j+1}$ affects the homology of the complex, we
520 consider the pair (\bar{J}, \dot{J}) , in which $\bar{J} = \text{cl } J$ is the closure and $\dot{J} = \bar{J} \setminus J$. Since $K_j \sqcup J$ is a
521 complex, we have $\dot{J} = K_j \cap \bar{J}$, which is the intersection of two complexes and therefore a
522 complex itself. We are interested in the relative homology of (\bar{J}, \dot{J}) , since it will allow us
523 to deduce the homology of K_{j+1} from that of K_j . Fixing a field to compute homology, we
524 classify the steps according to the ranks of the relative homology groups, which we denote as
525 $\beta_p = \text{rank } H_p(\bar{J}, \dot{J})$ for all dimensions p .

526 **► Definition 4.1 (Critical Step).** *We call J a non-critical step of f if $\beta_p = 0$ for all $p \geq 0$.
527 Otherwise, J is a critical step. It is a simple critical step of index p if all ranks vanish
528 except in a single dimension, p , in which $\beta_p = 1$.*

529 We now explain how to deduce the homology of a complex from the homology of its predecessor
530 and the relative homology of the step. We get the homology of $K_{j+1} = K_j \sqcup J$ using the
531 long exact sequence of a pair:

$$532 \quad \cdots \rightarrow H_p(K_j) \rightarrow H_p(K_{j+1}) \rightarrow H_p(K_{j+1}, K_j) \rightarrow H_{p-1}(K_j) \rightarrow \dots \quad (25)$$

533 Note that $H_p(K_{j+1}, K_j)$ is isomorphic to $H_p(\bar{J}, \dot{J})$ for every dimension p by excision. Assuming
534 the ranks of the homology groups of K_j and of (\bar{J}, \dot{J}) are given, there are very few options
535 for the ranks of K_{j+1} that make the sequence exact. For example, if J is a non-critical
536 step, then $\text{rank } H_p(K_{j+1}) = \text{rank } H_p(K_j)$ for every p . If J is a simple critical step with index
537 p , then either $\text{rank } H_p(K_{j+1}) = \text{rank } H_p(K_j) + 1$ or $\text{rank } H_{p-1}(K_{j+1}) = \text{rank } H_{p-1}(K_j) - 1$,
538 with equal ranks in all other dimensions.

539 4.4 Critical and Non-critical Steps

540 Note that for a discrete or generalized discrete Morse function, every critical step is simple
541 and indeed consists of only a single cell. In contrast, the discrete version of a generic piecewise
542 linear map can have non-simple critical steps, such as monkey saddles, etc. However, these
543 steps are still special since each has a unique lower bound, which is a vertex.

544 Similarly, the discrete functions in this paper are special cases within the general framework
545 introduced in the previous subsection. In particular, each step of the Delaunay function,
546 $\text{del}: \text{Del}(B) \rightarrow \mathbb{R}$, has a unique upper bound, as we will prove shortly. To include the discrete
547 Voronoi function in this discussion, we note that $\text{vor}: \text{Vor}(B) \rightarrow \mathbb{R}$ is anti-monotonic, so
548 $-\text{vor}$ is monotonic, the above discussion applies, and every step of vor has a unique upper
549 bound as well. Furthermore, the critical steps of del and vor contain a single cell each and
550 are therefore simple, as we now prove.

551 **► Theorem 4.2 (Step Shape).** *Every step of vor and of del has a unique upper bound, and
552 if it is critical, then it consists of a single cell whose dimension is equal to the index of the
553 step.*

554 **Proof.** We first prove that every step of \mathbf{del} has a unique upper bound, and we omit the
 555 proof for \mathbf{vor} , which is symmetric. By definition,

$$556 \quad \mathbf{del}(\sigma^*) = \min_{x \in \sigma} [\varpi(x) - \mathit{env}(x)], \quad (26)$$

557 in which $\mathit{env} = \varpi - \mathit{vor}$ is piecewise linear and convex. Because ϖ is strictly convex, the
 558 minimum on the right-hand side of (26) is attained at a unique point, which we denote
 559 $y = y(\sigma)$. The step J of \mathbf{del} that contains σ^* also contains every $\tau^* \in \mathbf{Del}(B)$ with $y(\tau) = y$.
 560 It contains no other cell, else there would be a cell with two points minimizing a strictly
 561 convex function. Without loss of generality, assume that σ^* is the unique cell in J such
 562 that σ contains y in its interior. It follows that $\sigma \subseteq \tau$ for all $\tau^* \in J$, which is equivalent to
 563 $\tau^* \subseteq \sigma^*$ for all $\tau^* \in J$. Hence, σ^* is the unique upper bound of J .

564 We second prove that every step that contains two or more cells is non-critical. Such
 565 a step, J , has a unique upper bound, σ^* . Write $q = \dim \sigma^*$, and let $A \subseteq B$ contain the
 566 weighted points such that σ^* is the convex hull of their locations. Let $S_r(x)$ be the smallest
 567 sphere such that $\pi_a(x) = r^2$ for every $a \in A$, and recall that this sphere is unique. Because
 568 σ^* is an upper bound, we have $\pi_b(x) > r^2$ for all $b \in B \setminus A$. All cells $\tau^* \in J \setminus \{\sigma^*\}$ are
 569 faces of σ^* that are *visible* from x . By this we mean that the line segment connecting x and
 570 a point $z \in \mathit{int} \tau^*$ is disjoint from $\mathit{int} \sigma^*$, while the line that passes through x and z has a
 571 non-empty intersection with $\mathit{int} \sigma^*$. This implies that the union of interiors of the cells in
 572 $J \setminus \{\sigma^*\}$ is an open $(q - 1)$ -ball. As before, we define $\bar{J} = \mathit{cl} J$ and $\dot{J} = \bar{J} \setminus J$. Since \bar{J} is
 573 a closed q -ball and \dot{J} is a closed $(q - 1)$ -ball in its boundary, the rank of $H_p(\bar{J}, \dot{J}) = 0$ for
 574 every dimension p . Hence, J is non-critical, which implies that every critical step consists of
 575 a single cell, as claimed. Adding a cell of dimension q to the appropriate sublevel set affects
 576 either the q -th or the $(q - 1)$ -st homology group, which implies that the index of a critical
 577 step is the dimension of its cell, again as claimed. \square

578 We observe that our definition of a critical step is consistent with that of a critical point.
 579 An interesting detail are the borderline non-critical points, which we recall have a contractible
 580 down-link and a non-contractible up-link, or the other way round. Correspondingly in
 581 the discrete setting, we call $\tau \in \mathbf{Vor}(B)$ a *borderline non-critical cell* if $\tau \cap \tau^* \neq \emptyset$ but
 582 $\mathit{int} \tau \cap \mathit{int} \tau^* = \emptyset$. A borderline critical cell is not critical, but there are arbitrarily small
 583 perturbations of the weighted points in B that render such a cell critical. Note that τ is a
 584 borderline non-critical cell of \mathbf{vor} iff τ^* is a borderline non-critical cell of \mathbf{del} . To bring such
 585 cases in focus, we introduce a condition that avoids them.

586 **► Definition 4.3 (General Position).** *A set $B \subseteq \mathbb{R}^d \times \mathbb{R}$ with injective and locally finite*
 587 *projection to \mathbb{R}^d is in general position if \mathbf{vor} has no borderline non-critical cell or, equivalently,*
 588 *if \mathbf{del} has no borderline non-critical cell.*

589 Note that this notion of general position is independent of the condition that guarantees
 590 simple Voronoi tessellations and simplicial Delaunay mosaics.

591 **5** Complementing Subcomplexes

592 The main new concept in this section, is the channel between complementing subcomplexes
 593 of the tessellation and the mosaic. This channel acts like a buffer between the complexes,
 594 not unlike the buffer created from the second barycentric subdivision in the standard proof
 595 of Alexander duality [16].

596 **5.1 Sub- and Superlevel Sets**

597 Observe that for `del` and `sdx`, the value of a cell is larger than or equal to the values of its
 598 faces, and for `vor` and `sdn`, it is less than or equal to the values of its faces. It follows that
 599 the following sub- and superlevel sets are complexes:

600
$$\text{Vor}^t(B) = \text{vor}^{-1}[t, \infty), \tag{27}$$

601
$$\text{Del}_t(B) = \text{del}^{-1}(-\infty, t], \tag{28}$$

602
$$\text{Sd}^t(B) = \text{sdn}^{-1}[t, \infty), \tag{29}$$

603
$$\text{Sd}_t(B) = \text{sdx}^{-1}(-\infty, t]. \tag{30}$$

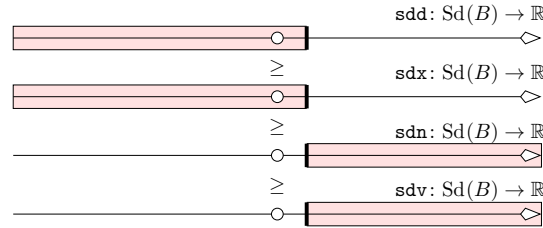
604 We extend (10) and (11) from the continuous to the discrete setting.

605 **► Lemma 5.1 (Nested Spaces).** *Let $B \subseteq \mathbb{R}^d \times \mathbb{R}$ have an injective and locally finite projection*
 606 *to \mathbb{R}^d . Then $|\text{Del}_t(B)| \subseteq |\text{Sd}_t(B)|$ and $|\text{Vor}^t(B)| \subseteq |\text{Sd}^t(B)|$.*

607 **Proof.** Recall the functions `sdv`, `sdd`: $\text{Sd}(B) \rightarrow \mathbb{R}$ introduced at the end of Section 4.2. By
 608 construction, the underlying spaces of their sub- and superlevel sets agree with those of
 609 `vor` and `del`. In particular, $|\text{sdd}^{-1}(-\infty, t]| = |\text{Del}_t(B)|$ and $|\text{sdv}^{-1}[t, \infty)| = |\text{Vor}^t(B)|$. By
 610 Lemma 3.1, we have

611
$$\text{sdd}(\gamma) \geq \text{sdx}(\gamma) \geq \text{sdn}(\gamma) \geq \text{sdv}(\gamma), \tag{31}$$

612 for every $\gamma \in \text{Sd}(B)$. As illustrated in Figure 6, this implies $\text{sdd}^{-1}(-\infty, t] \subseteq \text{sdx}^{-1}(-\infty, t]$
 613 and $\text{sdv}^{-1}[t, \infty) \subseteq \text{sdn}^{-1}[t, \infty)$. The sequence of inequalities in (31) thus imply the two
 claimed containment relations. □

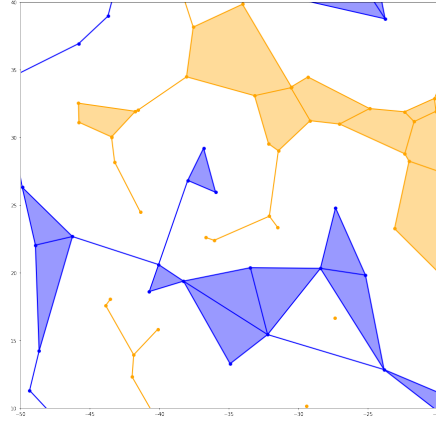


614 **■** Figure 6: The four discrete functions on the common subdivision, which dominate each other from *top* to *bottom*. All indicated sub- and superlevel sets are for the same value, t .

615 Let $t \in \mathbb{R}$ be a value different from $sd(x)$ for all vertices x of $\text{Sd}(B)$. Then $\text{Sd}_t(B) \cap$
 616 $\text{Sd}^t(B) = \emptyset$, and similarly their underlying spaces are disjoint. Combining the two relations
 617 in Lemma 5.1, we therefore have $|\text{Del}_t(B)| \cap |\text{Vor}^t(B)| = \emptyset$, which we illustrated in Figure 7.
 618 On the other hand, if t is the value of a vertex, x , then x belongs to $\text{Sd}_t(B)$ as well as to
 619 $\text{Sd}^t(B)$. If x is furthermore a critical point of sd , then x belongs also to $|\text{Del}_t(B)|$ and to
 620 $|\text{Vor}^t(B)|$.

621 **5.2 Channel**

622 Since the sub- and superlevel sets of `del` and `vor` considered in Lemma 5.1 have disjoint
 623 underlying spaces, it makes sense to study the space in between. For each value $t \in \mathbb{R}$,



■ Figure 7: Complementing subcomplexes of the Voronoi tessellation, in *orange*, and the Delaunay mosaic, in *blue*. The complexes are constructed for a non-critical value of t , for which their underlying spaces are disjoint.

624 this is the underlying space of an open collection of cells in the common subdivision of the
 625 tessellation and the mosaic. For each cell $\gamma = \tau \cap \sigma^*$ in $\text{Sd}(B)$, the relevant values are

$$626 \quad t_0(\gamma) = \text{sdv}(\gamma) = \text{vor}(\tau), \quad (32)$$

$$627 \quad t_1(\gamma) = \text{sdd}(\gamma) = \text{del}(\sigma^*). \quad (33)$$

628 Moving from $-\infty$ to ∞ along the real numbers, τ is dropped from $\text{Vor}^t(B)$ at $t = t_0(\gamma)$
 629 and σ^* is added to $\text{Del}_t(B)$ at $t = t_1(\gamma)$. If τ is a critical cell of vor and $\sigma^* = \tau^*$ is the
 630 corresponding critical cell of del , then γ is a point that belongs to both underlying spaces at
 631 $t = t_0(\gamma) = t_1(\gamma)$, and to exactly one of these underlying spaces for all other values of t . For
 632 all other cells $\gamma = \tau \cap \sigma^*$, Lemma 5.1 implies $t_0(\gamma) < t_1(\gamma)$. In all cases, γ belongs to the
 633 space in between $\text{Del}_t(B)$ and $\text{Vor}^t(B)$ for all $t_0(\gamma) < t < t_1(\gamma)$. More formally, we define
 634 the *channel* of B at t :

$$635 \quad \text{Ch}_t(B) = \{\gamma = \tau \cap \sigma^* \mid \tau \notin \text{Vor}^t(B), \sigma^* \notin \text{Del}_t(B)\}; \quad (34)$$

636 see Figure 8. This is the complement of the union of two subcomplexes of $\text{Sd}(B)$ or,
 637 equivalently, the intersection of two open sets:

$$638 \quad \text{Ch}_t(B) = \text{Sd}(B) \setminus [\text{sdd}^{-1}(-\infty, t] \cup \text{sdv}^{-1}[t, \infty)] \quad (35)$$

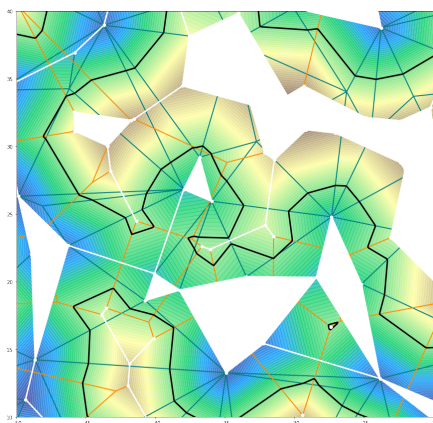
$$639 \quad = \text{sdd}^{-1}(t, \infty) \cap \text{sdv}^{-1}(-\infty, t). \quad (36)$$

640 Recall that $\text{sdd}(\gamma)$ is at least the maximum and $\text{sdv}(\gamma)$ is at most the minimum $sd(x)$ over
 641 all points $x \in \gamma$. It follows that $sd^{-1}(t)$ is disjoint of the underlying spaces of $\text{sdd}^{-1}(-\infty, t]$
 642 and $\text{sdv}^{-1}[t, \infty)$, unless t is a critical value of sd , in which case the corresponding critical
 643 points belong to all three. Hence, $sd^{-1}(t)$ is contained in the underlying space of the channel,
 644 unless t is a critical value, in which case the level set passes through the corresponding critical
 645 points. We state this insight together with a straightforward related property more formally.

646 ► **Theorem 5.2 (Split Channel).** *Let $B \subseteq \mathbb{R}^d \times \mathbb{R}$ have an injective and locally finite projection*
 647 *to \mathbb{R}^d , and let $t \in \mathbb{R}$ be different from all critical values of sd . Then*

$$648 \quad \blacksquare \quad sd^{-1}(t) \subseteq |\text{Ch}_t(B)|,$$

$$649 \quad \blacksquare \quad sd^{-1}(t) \text{ is an orientable } (d-1)\text{-manifold.}$$



■ Figure 8: The channel decomposed into cells of the common subdivision of the Voronoi tessellation and the Delaunay mosaic, with the two complementing subcomplexes forming the *white* background. In *black*, we superimpose the level set of sd for the value of t that splits the channel into two.

650 On the other hand, if t is a critical value of sd , then both of these properties are violated,
 651 but only at the corresponding critical points, and at these points both, level set and channel,
 652 go through topological reorganization.

653 5.3 Evolution of Channel

654 For every non-critical value $t \in \mathbb{R}$, we have a partition of \mathbb{R}^d into the underlying space of
 655 the superlevel set of vor , of the sublevel set of del , and of the channel in between. We are
 656 interested in the evolution of this partition as t goes from $-\infty$ to ∞ . It is convenient to
 657 study the corresponding partition of the common subdivision,

$$658 \quad \text{Sd}(B) = \text{sdd}^{-1}(-\infty, t] \sqcup \text{Ch}_t(B) \sqcup \text{sdv}^{-1}[t, \infty), \quad (37)$$

659 as t goes from $-\infty$ to ∞ . At the beginning, the only non-empty set in the partition is the
 660 superlevel set of sdv , and step by step the cells migrate first to the channel and second to the
 661 sublevel set of sdd , until, at the end, the latter is the only non-empty subset in the partition.
 662 Indeed, every change in this process is the migration of a step of sdv to the channel or the
 663 migration of a step of sdd from the channel. We distinguish between non-critical steps and
 664 critical steps of index q , with $0 \leq q \leq d$. By Theorem 4.2, the cells of an index q critical step
 665 subdivide an open q -cell in $\text{Del}(B)$ or in $\text{Vor}(B)$.

Write J_i and t_i for the steps of sdv and sdd and their values, for $0 \leq i \leq m$. We assume
 the indexing satisfies $t_i \leq t_{i+1}$ for $0 \leq i < m$, and in case of a tie, the steps of sdv precede
 those of sdd . Write V_i and D_i for the two complexes after processing steps J_0 through J_i ,
 and let $C_i = \text{Sd}(B) \setminus [V_i \sqcup D_i]$ be the third set in the partition. We get the next partition as

$$\begin{aligned} V_{i+1} &= V_i \setminus J_{i+1}, & C_{i+1} &= C_i \sqcup J_{i+1}, & D_{i+1} &= D_i, \\ V_{i+1} &= V_i, & C_{i+1} &= C_i \setminus J_{i+1}, & D_{i+1} &= D_i \sqcup J_{i+1}, \end{aligned}$$

666 in which the first row describes the change if the step belongs to sdv and the second row if
 667 the step belongs to sdd . To avoid discussing the homology of unbounded spaces, we add a
 668 point at infinity to compactify \mathbb{R}^d to \mathbb{S}^d .

669 CASE J_{i+1} is non-critical. Then the p -th homology groups of V_i and V_{i+1} are isomorphic,
 670 and so are the p -th homology groups of D_i and D_{i+1} , for every p .

671 CASE J_{i+1} is an index q critical step of sdv . Then either $\beta_q(V_{i+1}) = \beta_q(V_i) - 1$ or
 672 $\beta_{q-1}(V_{i+1}) = \beta_{q-1}(V_i) + 1$, with equality for the ranks in all other dimensions.

673 CASE J_{i+1} is an index q critical step of sdd . Then either $\beta_q(D_{i+1}) = \beta_q(D_i) + 1$ or
 674 $\beta_{q-1}(D_{i+1}) = \beta_{q-1}(D_i) - 1$, with equality for the ranks in all other dimensions.

675 Recall that the critical steps come in pairs of complementary indices $p + q = d$. Assuming
 676 J_{i+1}, J_{i+2} is such a pair of critical steps, one of sdv and the other of sdd , we get either
 677 $\beta_p(V_{i+2}) = \beta_p(V_i) - 1$ or $\beta_{p-1}(V_{i+2}) = \beta_{p-1}(V_i) + 1$ for the ranks on one side of the channel,
 678 and either $\beta_q(D_{i+2}) = \beta_q(D_i) + 1$ or $\beta_{q-1}(D_{i+2}) = \beta_{q-1}(D_i) - 1$ for the ranks on the other
 679 side of the channel. This is consistent with Alexander duality but fails to imply it as we did
 680 not yet pair up the events on the two sides.

681 5.4 Crushing the Channel

682 This subsection addresses the missing step in the proof of Alexander duality for V_i and
 683 D_i . To this end, we show that the channel that separates the two complexes can be
 684 deformation retracted. Let $t \in \mathbb{R}$ such that $D_i = \text{Del}_t(B)$ and $V_i = \text{Vor}^t(B)$, and recall that
 685 $|D_i| \subseteq \text{vor}^{-1}(-\infty, t]$ and $|V_i| \subseteq \text{del}^{-1}[t, \infty)$. Since the situation is symmetric, it suffices to
 686 talk about D_i . By definition, a *boundary cell* of D_i is contained in $\partial|D_i|$, and by construction,
 687 $\sigma^* \in D_i$ is a boundary cell iff the intersection of the corresponding spheres has a non-empty
 688 contribution to the boundary of $\text{vor}^{-1}(-\infty, t]$. Letting p be the dimension of the dual cell,
 689 $\sigma \in \text{Vor}(B)$, and p_a be one of the vertices of σ^* , this contribution is $A_\sigma = \sigma \cap S_r(p_a)$, in which
 690 the squared radius of the sphere is $r^2 = w_a + t$. Hence, A_σ is a subset of a $(p - 1)$ -sphere,
 691 which may or may not be connected. An important part of the construction is the *join* of σ^*
 692 and A_σ , which is the union of line segments connecting the two sets:

$$693 \quad \sigma^* * A_\sigma = \{(1 - \lambda)y + \lambda z \mid y \in \sigma^*, z \in A_\sigma, 0 \leq \lambda \leq 1\}. \quad (38)$$

694 Writing $U_t = \text{vor}^{-1}(-\infty, t]$ and following [4], we decompose $U_t \setminus |D_i|$ into such joins. The
 695 deformation retraction will happen along the *fibers* of this decomposition, which are the line
 696 segments in the joins. We therefore need that the fibers cover $U_t \setminus |D_i|$ and that they do
 697 not intersect except at shared endpoints. But this is clear because the entire decomposition
 698 can be obtained by projecting pieces of a convex surface in \mathbb{R}^{d+1} to \mathbb{R}^d . This surface is the
 699 boundary of the convex hull of the graphs of end and $\varpi + t$. The pieces that belong to the
 700 graph of end project to cells in D_i , the pieces that bridge the gap between the two graphs
 701 project to the joins, and the rest belongs to the graph of ϖ , which we do not project.

702 We now return to splitting the channel along the middle, by which we mean that we split
 703 it along $\text{sd}^{-1}(t)$. It is important that each fiber intersect this level set in exactly one point.

704 ► **Lemma 5.3 (Fiber Crossing).** *Let $B \subseteq \mathbb{R}^d \times \mathbb{R}$ have an injective and locally finite projection*
 705 *to \mathbb{R}^d , let $t \in \mathbb{R}$ be a non-critical value, and let y, z be endpoints of a fiber in the decomposition*
 706 *of $U_t \setminus |\text{Del}_t(B)|$. Then there is a unique $0 \leq \lambda \leq 1$ such that $\text{sd}((1 - \lambda)y + \lambda z) = t$.*

707 **Proof.** We have $\text{sd}(y) < t < \text{sd}(z)$ for the fiber with endpoints $y \in \sigma^*$ and $z \in A_\sigma$. It follows
 708 that the fiber intersects $\text{sd}^{-1}(t)$ an odd number of times. To show that this number is 1, we
 709 recall that the sublevel set of vor and the superlevel set of del are both unions of balls:

$$710 \quad \text{vor}^{-1}(-\infty, t] = \bigcup_{a \in B} a_t \quad \text{and} \quad \text{del}^{-1}[t, \infty) = \bigcup_{c \in C} c_t, \quad (39)$$

711 in which a_t is the ball with center p_a and squared radius $w_a + t$, for $a \in B$, and c_t is
 712 the ball with center p_c and squared radius $w_c - t$, for $c \in C$. By construction, we have

713 $\|p_a - p_c\|^2 \geq w_a + t + w_c - t$; that is: a_t and c_t are orthogonal or further than orthogonal
 714 from each other. Recall that $del(x) \geq sd(x) \geq vor(x)$ for every $x \in \mathbb{R}^d$, by Lemma 3.1.
 715 This implies that the two unions of balls cover the entire \mathbb{R}^d , and that the level set of sd
 716 at t is contained in their intersection; see Figure 3 and equations (10) and (11). We first
 717 consider the special case in which y is a vertex of $\text{Del}_t(B)$ and z is a point of the sphere
 718 bounding the corresponding ball: assuming $w_a + t > 0$, we set $y = p_a$ and let z be a point
 719 on the boundary of a_t . Of course y and z belong to the boundary of their respective sets.
 720 Assuming c_t contains z , there is a unique $0 < \lambda_c \leq 1$ such that $x = (1 - \lambda)y + \lambda z$ belongs to
 721 c_t iff $\lambda_c \leq \lambda$. Setting $\lambda_c = \infty$ if c_t does not contain z , we let $\lambda_{\min} = \min_{c \in C} \lambda_c$. Hence, x
 722 belongs to $del^{-1}[t, \infty)$ iff $\lambda_{\min} \leq \lambda$. We prove the claim by first extending this construction
 723 to general fibers and second arguing about the overlap of the two unions of balls.

724 Let $y \in \sigma^*$ and $z \in A_\sigma$ be the endpoints of a fiber, and consider the ball with center y
 725 and squared radius $\|z - y\|^2$. It is not necessarily a ball a_t with $a \in B$, but it is contained in
 726 the union of balls a_t , with p_a a vertex of σ^* , and its boundary contains the intersection of the
 727 boundaries of these balls. It follows that it is orthogonal or further than orthogonal from all
 728 balls c_t , with $c \in C$. By construction, $z \in del^{-1}[t, \infty)$, so there is a unique $0 < \lambda_{\min} \leq 1$ such
 729 that a point $x = (1 - \lambda)y + \lambda z$ of the fiber belongs to $del^{-1}[t, \infty)$ iff $\lambda_{\min} \leq \lambda$. In summary,
 730 the points at which the fiber intersects the level set all lie between $y' = (1 - \lambda_{\min})y + \lambda_{\min}z$
 731 and z . Write $[y', z]$ for this portion of the fiber, which we orient from y' to z . It is not
 732 difficult to see that the restriction of vor to $[y', z]$ is a strictly increasing piecewise quadratic
 733 function. Indeed, if there is a vertex p_a of σ^* such that the Voronoi cell of a contains the
 734 entire segment from y' to z , then vor restricted to $[y', z]$ is quadratic and its extension along
 735 the line attains its minimum outside $[y', z]$, namely at p_a , which lies before y' . If there is no
 736 such vertex p_a , then we trace the segment from z back to y' , passing through a sequence of
 737 Voronoi cells. Each time we pass from one cell to another, the slope of the restriction of vor
 738 increases. It follows that also in this case, we reach y' before we reach a minimum. Similarly,
 739 the restriction of del to $[y', z]$ is a strictly increasing piecewise quadratic function. It follows
 740 that sd restricted to $[y', z]$ is a strictly increasing piecewise linear function, which implies
 741 that it crosses t exactly once. Hence, the fiber intersects $sd^{-1}(t)$ in exactly one point, as
 742 claimed. \square

743 To construct the deformation retraction, we clip every fiber where it intersects $sd^{-1}(t)$
 744 and retract the remaining piece to its endpoint in $|D_i|$. To describe this formally, we write
 745 $z' = (1 - \lambda')y + \lambda'z$, with λ' the unique solution to $sd((1 - \lambda)y + \lambda z) = t$, and we write
 746 $M_t = sd^{-1}(-\infty, t]$ and $M^t = sd^{-1}[t, \infty)$. To deformation retract M_t to $|D_i| = |\text{Del}_t(B)|$
 747 we use $D: M_t \times [0, 1] \rightarrow M_t$, which is the identity on $|D_i|$ and otherwise maps a point
 748 $x = (1 - \lambda)y + \lambda z'$ to $D(x, s) = (1 - s)x + sy$, for every $s \in [0, 1]$. Symmetrically, we
 749 deformation retract M^t to $|V_i| = |\text{Vor}^t(B)|$. We formally state the implications.

750 **► Theorem 5.4 (Crushing).** *Let $B \subseteq \mathbb{R}^d \times \mathbb{R}$ have an injective and locally finite projection*
 751 *to \mathbb{R}^d and $t \in \mathbb{R}$ be non-critical. Then $|\text{Del}_t(B)| \simeq M_t$ and $|\text{Vor}^t(B)| \simeq M^t$.*

752 In words, the channel can be split into halves, each half can be decomposed into line segments
 753 called fibers, and by retracting the fibers, we glue the boundaries of $|\text{Del}_t(B)|$ and $|\text{Vor}^t(B)|$
 754 without altering the homotopy type, which is that of \mathbb{R}^d or, after compactification, that of \mathbb{S}^d .
 755 Hence, Alexander duality applies, so we get $\beta_{q-1}(\text{Vor}^t(B)) = \beta_p(\text{Del}_t(B))$ for all dimensions
 756 $p + q = d$, except when $p = 0$ or $q = 0$ in which case the two ranks differ by 1. Recalling the
 757 parallel change of the two complexes discussed above, we now conclude that we see the birth
 758 of a p -dimensional homology class in $\text{Vor}^t(B)$ iff we see the birth of a $(q - 1)$ -dimensional
 759 homology class in $\text{Del}_t(B)$ at the same threshold, and similarly for the death of such classes.

760 **6 Discussion**

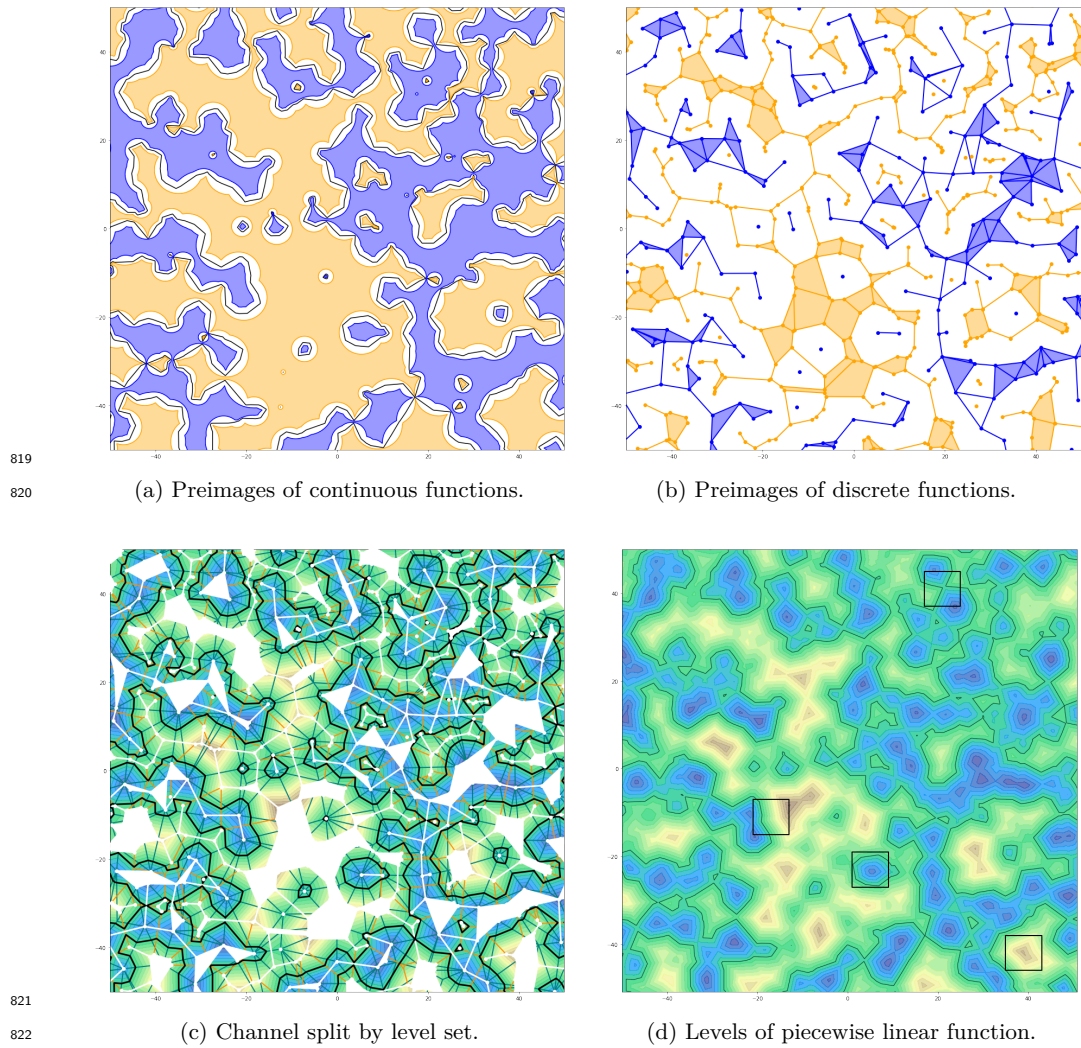
761 Motivated by challenges caused by data in non-general position, this paper explores the
 762 continuous and discrete functions that define Voronoi tessellations and Delaunay mosaics.
 763 Beyond the concrete results formulated as lemmas and theorems, we mention the generaliza-
 764 tion of key concepts in discrete Morse theory as one of the main contributions of this paper.
 765 In the process of gaining new insights into an old subject, we encountered questions we have
 766 not been able to answer:

- 767 ■ The piecewise linear $sd: \mathbb{R}^d \rightarrow \mathbb{R}$ can be defined for sets $B, C \subseteq \mathbb{R}^d \times \mathbb{R}$ that do not
 768 satisfy the polar relationship assumed in this paper. What are its properties, and what
 769 additional features does it enjoy when B and C are polar, as assumed in this paper?
- 770 ■ In \mathbb{R}^3 , the union of balls is a popular model of a molecule [13], albeit in practice easier to
 771 compute and easier to display PL surfaces are preferred. These do generally not have the
 772 homotopy type of the boundary of the union of balls. The level set of $sd: \mathbb{R}^3 \rightarrow \mathbb{R}$ suggests
 773 itself as an easy to use yet topologically correct alternative. What are its combinatorial
 774 and geometric properties, and how fast can they be computed?
- 775 ■ We prove in this paper that the channel deformation retracts to the Voronoi complex
 776 as well as the complementing Delaunay complex. Can the same result be obtained with
 777 discrete methods, for example by collapsing the steps of the discrete versions of sd ?

778 The discrete functions defined in this paper gives rise to a one-parameter family of comple-
 779 menting complexes. It would be interesting to connect these families to applications, such as
 780 the study of Raleigh–Bénard convection with its family of bi-partitions of space [12].

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■ Figure 9: Pictures of the same decomposition of the plane into “land” and “water”. All geometric structures are for the same value of t : (a) sub-, super-, and level sets of three continuous functions; (b) sub- and superlevel sets of the discrete functions on the Voronoi tessellation and the Delaunay mosaic; (c) channel divided by level set of piecewise linear function; (d) level sets of piecewise linear functions, with square boxes marking the neighborhoods of a non-critical point, a minimum, a saddle, and a maximum.