# On Spheres with k Points Inside

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#### - Abstract 1

- We generalize a classical result by Boris Delaunay that introduced Delaunay triangulations. In 2
- particular, we prove that for a locally finite and coarsely dense generic point set A in  $\mathbb{R}^d$ , every generic
- point of  $\mathbb{R}^d$  belongs to exactly  $\binom{d+k}{d}$  simplices whose vertices belong to A and whose circumspheres
- enclose exactly k points of A. We extend this result to the cases in which the points are weighted,
- and when A contains only finitely many points in  $\mathbb{R}^d$  or in  $\mathbb{S}^d$ . Furthermore, we use the result to
- give a new geometric proof for the fact that volumes of hypersimplices are Eulerian numbers.

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#### 1 Introduction

In the seminal paper [4], Boris Delaunay (also spelled Delone) introduced the Delaunay 9 triangulation of a finite point sets using simplices with empty circumspheres. His construction 10 can be reformulated as follows: for a (finite and generic) point set,  $A \subseteq \mathbb{R}^d$ , the simplices 11 with vertices in A that contain no points of A inside their circumspheres cover the convex 12 hull of A in one layer. In this paper, we generalize Delaunay's construction and prove similar 13 properties for simplices with circumspheres that enclose exactly k points of A, for some fixed 14 non-negative integer k. We call these simplices the k-heavy simplices of A. 15

We introduce the main concepts we will work with. A set  $A \subseteq \mathbb{R}^d$  is *locally finite* if 16 every closed ball contains at most a finite number of the points of A, and it is coarsely 17 dense if every closed half-space contains at least one and therefore infinitely many of the 18 points of A. If A has both properties, we call it a *thin Delone set*; compare with the more 19 restrictive class of *Delone sets*, which are *uniformly discrete* and *relatively dense*, meaning 20 the smallest inter-point distance is bounded away from 0, and the radius of the largest empty 21 ball is bounded away from  $\infty$ . We call A generic if no d+1 of its points lie on a common 22 hyperplane, and no d+2 of its points lie on a common (d-1)-sphere. Any (d-1)-sphere 23 bounds a closed d-ball and thus partitions  $\mathbb{R}^d$  into points *inside* the sphere (in the interior of 24 the ball), points on the sphere, and points outside the sphere (in the complement of the ball). 25 Assuming A is generic, there is a unique (d-1)-sphere passing through any d+1 points of 26 A, which we call the *circumscribed sphere* of the *d*-simplex spanned by the points. 27

▶ Main Definition. Let k be a non-negative integer and  $A \subseteq \mathbb{R}^d$  a generic thin Delone set 28 or a generic finite set. A d-simplex with vertices in A is k-heavy if exactly k points of A lie 29 inside the circumsphere of the d-simplex. 30

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For example, the 0-heavy simplices are the top-dimensional simplices in the Delaunay triangulation of A, and k-heavy simplices with k > 0 are related to the cells in higher-order

<sup>33</sup> Delaunay triangulations [2, 6]. Our main result is Theorem 2.2, which we restate here in less

<sup>34</sup> technical terms:

▶ Main Theorem. Let k be a non-negative integer and  $A \subseteq \mathbb{R}^d$  a generic thin Delone set. Then the k-heavy simplices of A cover  $\mathbb{R}^d$  in exactly  $\binom{d+k}{d}$  layers.

We also prove versions of this theorem for finitely many points, points on the *d*-dimensional sphere, and weighted points. In addition, we apply the covering multiplicities to get a new proof that the volumes of hypersimplices are Eulerian numbers, and to get new proofs for some bounds on *k*-sets.

The paper is organized as follows. In Section 2, we introduce the main definitions, prove the main result for thin Delone sets (Theorem 2.2) and finite sets (Corollary 2.3), and formulate their local versions (Theorem 2.4). In Section 3, we apply the results to obtain a new proof for the fact that volumes of hypersimplices are Eulerian numbers and new proofs for old bounds on k-sets. In the concluding Section 4, we discuss extensions of the results to points in hyperbolic and spherical spaces and to points with real weights in Euclidean space.

# 47 **2** Heavy Simplices in Euclidean Space

This section presents the main result of this paper, which is stated for infinite and finite point sets in Euclidean space. We begin with the main technical lemma before stating and proving the main theorem.

## 51 2.1 Main Technical Lemma

For technical reasons we first show that the k-heavy simplices of a thin Delone set A are "locally uniform" in size. Specifically, we prove an upper bound for the radii of spheres that enclose a fixed point,  $x \in \mathbb{R}^d$ , as well as at most k points of A. To this end, we write B(x, R)for the closed ball with center x and radius R, and note that the number of points of A in this ball goes to infinity when R goes to infinity.

**Lemma 2.1.** Let  $A \subseteq \mathbb{R}^d$  be coarsely dense, k a non-negative integer, and  $x \in \mathbb{R}^d$ . Then there exists R = R(x, A, k) such that if x is inside a sphere that is not fully contained in B(x, R), then there are at least k + 1 point of  $A \cap B(x, R)$  inside this sphere.

Proof. Without loss of generality, assume x = 0. For every unit vector,  $u \in \mathbb{S}^{d-1}$ , the open halfspace of points y that satisfy (y, u) > 0 contains infinitely many points of A. It follows that the function  $f_u: (0, \infty) \to \mathbb{Z}$  that maps r > 0 to the number of points of A inside the sphere with center ru and radius r is non-decreasing and unbounded.

We introduce  $g: \mathbb{S}^{d-1} \to \mathbb{R}$  defined by  $g(u) = \inf\{r > 0 \mid f_u(r) \ge k+1\}$  and claim that 64 g is bounded. To derive a contradiction, suppose g is unbounded, and let  $u_1, u_2, \ldots$  be a 65 sequence of unit vectors with  $g(u_n) \ge n$ . Since  $\mathbb{S}^{d-1}$  is compact, there is a subsequence 66 that converges to a vector  $u_0 \in \mathbb{S}^{d-1}$ . Let S be the sphere with radius  $g(u_0) + 1$  and center 67  $(g(u_0)+1)u_0$ . By construction, there are at least k+1 points of A inside S. Since these 68 points are (strictly) inside the sphere, there is a sufficiently small  $\varepsilon > 0$  such that moving 69 the center of the sphere by at most  $\varepsilon$  while adjusting its radius so the origin remains on 70 the sphere, retains at least k + 1 point of A inside the sphere. But this contradicts the 71 unboundedness of g as there are points  $u_i$  in the subsequence that are within distance  $\varepsilon$  from 72  $u_0$  with  $g(u_i)$  much larger than  $g(u_0) + 1$ . 73

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Since g is bounded,  $M = \sup\{g(u) \mid u \in \mathbb{S}^{d-1}\}$  is finite and, by construction of g, there are at least k + 1 points of A inside any sphere with center y and radius ||y|| as long as  $||y|| \ge M$ . Setting R = 2M, every sphere with center y that encloses the origin and is not contained in B(0, R) has radius r > M. This sphere encloses the ball with center  $M \frac{y}{||y||}$ and radius M, so there are at least k + 1 points of A inside this sphere that all belong to P(0, R).

As an immediate consequence of Lemma 2.1, the circumsphere of any k-heavy simplex that encloses x is completely contained in B(x, R).

# 82 2.2 Global Covering

Our first goal is to generalize the classic result of Delaunay that the 0-heavy simplices of 83 every thin Delone set cover  $\mathbb{R}^d$  in one layer; that is: every point of  $\mathbb{R}^d$  is contained in at 84 least one 0-heavy simplex and almost every point of  $\mathbb{R}^d$  is contained in exactly one 0-heavy 85 simplex. Specifically, we show that for every generic thin Delone set,  $A \subseteq \mathbb{R}^d$ , the family of 86 k-heavy simplices covers  $\mathbb{R}^d \begin{pmatrix} d+k \\ d \end{pmatrix}$  times. We call  $\begin{pmatrix} d+k \\ d \end{pmatrix}$  the k-th covering number and note 87 that it depends on the dimension, d, and the parameter, k, but not on the set A. We call 88  $x \notin A$  generic with respect to A if  $A \cup \{x\}$  is generic. Almost every point  $x \in \mathbb{R}^d$  is generic 89 with respect to a generic thin Delone set, A. To see this, observe that by local finiteness of A90 there are only countably many hyperplanes spanned by d points each or spheres spanned by 91 d+1 points each, so the union of these hyperplanes and spheres has Lebesgue measure zero. 92

▶ **Theorem 2.2.** Let k be a non-negative integer and  $A \subseteq \mathbb{R}^d$  a generic thin Delone set. Then any point  $x \in \mathbb{R}^d$  that is generic with respect to A belongs to exactly  $\binom{d+k}{d}$  k-heavy simplices of A.

**Proof.** The case d = 1 is obvious since every k-heavy simplex of A is an interval with endpoints in A and exactly k points between the two endpoints. Every point that is generic with respect to A, i.e. in  $\mathbb{R} \setminus A$ , is contained in exactly k + 1 such intervals. For  $d \ge 2$ , the proof splits into three steps.

STEP 1. Letting k be a non-negative integer and  $A \subseteq \mathbb{R}^d$  a generic thin Delone set, we 100 prove that here is a constant c = c(k, A) such that any point that is generic with respect 101 to A is contained in exactly c k-heavy simplices of A. Write  $cover_k(x, A)$  for the number of 102 k-heavy simplices of A that contain x. By Lemma 2.1,  $cover_k(x, A)$  is finite. Indeed, every 103 k-heavy simplex of A that contains x must select its vertices from the finitely many points 104 inside the ball B(x, 2M). To show that  $cover_k(x, A)$  is the same for all generic points, we 105 move x continuously from one point to another. The only time  $cover_k(x, A)$  can change is 106 when x passes through the boundary of a k-heavy simplex. It suffices to show that for every 107 (d-1)-simplex,  $\Delta$ , with vertices in A, the number of k-heavy simplices with facet  $\Delta$  is the 108 same on both sides of  $\Delta$ . 109

Consider the line, L, that consists of all points equidistant to the vertices of  $\Delta$  and mark 114 each point  $y \in L$  with the number of points of A inside the sphere with center y that passes 115 through the vertices of  $\Delta$ . This partitions L into labeled intervals, and since A is generic, 116 the labels of two consecutive intervals differ by exactly one. Fix a *left to right* direction on 117 L, move y in this direction, and observe that the portion of space inside the sphere centered 118 at y that lies to the left of the hyperplane spanned by  $\Delta$  shrinks, while the portion to the 119 right of this hyperplane grows. The transitions from an interval labeled k + 1 to another 120 labeled k are in bijection with the k-heavy simplices with facet  $\Delta$  to the left of  $\Delta$ . Indeed, 121 as y makes the transition, there is a point of A that passes from inside to outside the sphere 122

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- centered at y, so this point is to the left of  $\Delta$ . Similarly, the transitions from an interval
- labeled k to another labeled k+1 are in bijection with the k-heavy simplices with facet  $\Delta$  to
- the right of  $\Delta$ . There are equally many transitions of either kind because the labels go to
- infinity on both sides. This proves that  $cover_k(x, A)$  does not depend on x.

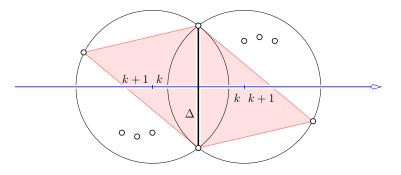


Figure 1: Two circles in the 1-parameter family of circles that pass through the endpoint of the edge  $\Delta$ . Both are the circumcircles of k-heavy triangles, with k = 3 in the shown case. As we move the center from left to right, every point that leaves the inside of the circle lies to the left of  $\Delta$ , and every point that enters the inside of the circle lies to the right of  $\Delta$ .

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STEP 2. We strengthen by showing that the constant in Step 1 depends on d and k but not on A. Specifically, we prove that for every dimension d and non-negative integer k, there exists a number f(d, k) such that for any generic thin Delone set,  $A \subseteq \mathbb{R}^d$ , and any point,  $x \in \mathbb{R}^d$ , that is generic with respect to A, belongs to exactly f(d, k) k-heavy simplices of A.

It suffices to show that for two thin Delone sets, A and A', and two points,  $x, x' \in \mathbb{R}^d$ , 133 that are generic with repect to both sets,  $cover_k(x, A) = cover_k(x', A')$ . By Lemma 2.1, 134 there exists R > 0 such that if a sphere encloses x and a point outside B(x, R), then there are 135 at least k+1 points of  $A \cap B(x, R)$  inside this sphere. It follows that the circumspheres of all 136 k-heavy simplices that enclose x are contained in B(x, R). Similarly, let R' be the constant 137 from Lemma 2.1 for x' and A'. We construct a new thin Delone set, A'': picking points y 138 and y' at distance larger than R + R' from each other, we let  $A'' \cap B(y, R)$  be a translate of 139  $A \cap B(x, R)$  and  $A'' \cap B(y', R')$  a translate of  $A' \cap B(x', R')$ . We perturb the points if necessary 140 to achieve genericity, and add more points outside B(y, R) and B(y', R') until A" is thin 141 Delone. By choice of R and R' we have  $cover_k(x, A) = cover_k(y, A'')$ , because every k-heavy 142 simplex of A whose circumsphere encloses x translates into a k-heavy simplex of A'' whose 143 circumsphere encloses y, and vice versa. Similarly, we have  $cover_k(x', A') = cover_k(y', A'')$ . 144 Finally,  $cover_k(x, A) = cover_k(x', A')$  because  $cover_k(y, A'') = cover_k(y', A'')$  as proved in 145 Step 1. 146

STEP 3. We provide an explicit example of a generic thin Delone set,  $A \subseteq \mathbb{R}^d$ , and a point,  $x \in \mathbb{R}^d$ , with  $cover_k(x, A) = \binom{d+k}{d}$ . Specifically, we prove that for every dimension d and non-negative integer k, there exists a generic thin Delone set  $A \subseteq \mathbb{R}^d$ , and a point x generic with respect to A, such that  $cover_k(x, A) = \binom{d+k}{d}$ .

Let S be a regular d-simplex with vertices  $v_0, v_1, \ldots, v_d$  and barycenter  $0 = \frac{1}{d+1} \sum_i v_i$ , and A the set of points of the form  $iv_j$ , for integers  $i \ge 1$  and  $j = 0, 1, \ldots, d$ . We call A a radial set and the points with fixed j a direction of A; see Figure 2. Set x = 0. Every k-heavy simplex of A that contains 0 has exactly one vertex from each direction. Let  $i_0v_0, i_1v_1, \ldots, i_dv_d$  be the vertices of such a simplex. The number of points of A inside its circumsphere is  $\sum_j (i_j - 1)$ , so  $\sum_j i_j = k + d + 1$ . Enumerating these simplices is the same as writing d + k + 1 as an ordered sum of d + 1 positive integers, and there are exactly  $\binom{d+k}{d}$ 

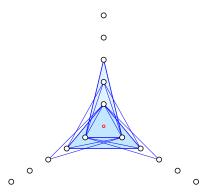


Figure 2: Before perturbation, the points of A lie on three half-lines emanating from the origin. We show six 2-heavy triangles, and emphasize two of them by shading.

ways to do that. To complete the proof, we perturb A while making sure that d + 1 points span a simplex that contains 0 before the perturbation iff they span such a simplex after the perturbation. This completes the proof of our main result.

# <sup>161</sup> 2.3 The Finite Case

For a finite set,  $A \subseteq \mathbb{R}^d$ , the covering multiplicity of Theorem 2.2 holds sufficiently deep inside the set but acts only as an upper bound near the fringes. To formalize these claims, we introduce a parametrized generalization of the convex hull: for each integer  $k \ge 0$ , the *k*-hull of A, denoted  $H_k(A)$ , is the common intersection of all closed half-spaces that miss at most k of the points in A. Clearly,  $H_0(A) = \operatorname{conv} A$ , and  $H_k(A) \supseteq H_{k+1}(A)$  for every k. By the Centerpoint Theorem of discrete geometry [5, Section 4.1],  $H_k(A) \neq \emptyset$  if  $k < \frac{n}{d+1}$ , in which n = #A is the number of points in A.

**Theorem 2.3.** Let  $A \subseteq \mathbb{R}^d$  be finite and generic,  $k \ge 0$  an integer, and  $x \in \mathbb{R}^d$  generic with respect to A. Then x is covered by at most  $\binom{d+k}{d}$  k-heavy simplices of A, with equality iff  $x \in H_k(A)$ .

**Proof.** To prove the upper bound, we add points outside all circumspheres of d + 1 points in A to construct a thin Delaunay set  $A' \subseteq \mathbb{R}^d$ . This is possible because the union of balls bounded by such spheres is bounded. Hence,  $A \subseteq A'$ , and any k-heavy simplex of A is also a k-heavy simplex of A'. Assuming x is generic with respect to A', Theorem 2.2 implies that exactly  $\binom{d+k}{d}$  k-heavy simplices of A' cover x. If all of them are also k-heavy simplices of A, then x is covered by exactly  $\binom{d+k}{d}$  k-heavy simplices of A, but if not, then x is covered by fewer than  $\binom{d+k}{d}$  k-heavy simplices of A.

" $\Leftarrow$ ". We prove  $x \in H_k(A)$  implies that every k-heavy simplex of A' covering x is also a 179 k-heavy simplex of A. Since A' has exactly  $\binom{d+k}{d}$  k-heavy simplices that cover x, this will 180 imply that A has the same number of such simplices. Consider such a k-heavy simplex of 181 A', and let  $B \subseteq A'$  be the points inside its circumsphere. Since  $x \in H_k(A)$ , every closed 182 half-space that misses at most k points of A contains x. But #B = k, so x belongs to the 183 convex hull of  $A \setminus B$ . The top-dimensional simplices of  $Del(A \setminus B)$  cover the convex hull 184 once, hence there is a unique 0-heavy simplex of  $A \setminus B$  that covers x. All points of  $A' \setminus A$  lie 185 outside its circumsphere, which implies that the same simplex is the unique 0-heavy simplex 186 of  $A' \setminus B$  that covers x. Therefore  $B \subseteq A$ , so this is a k-heavy simplex of A. 187

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" $\Longrightarrow$ ". Assuming  $x \notin H_k(A)$ , we modify the construction of A' to show that there is a set 188  $A'' \supseteq A$  such that all k-heavy simplices of A are k-heavy simplices of A'' but at least one 189 k-heavy simplex of A'' that covers x is not a k-heavy simplex of A. Since  $x \notin H_k(A)$ , there 190 are d points in A such that the open half-space bounded by the hyperplane passing through 191 these points that contains x contains at most k points of A. Let  $\Delta$  be the (d-1)-simplex 192 spanned by the d points, write  $\Delta^+$  for the open half-space, and let  $B \subseteq A$  be the points 193 in  $\Delta^+$ . By assumption,  $\#B \leq k$ . We get A'' by adding 1 + k - #B points to A as follows. 194 First, we add k - #B points in  $\Delta^+$  but outside all circumspheres of d+1 points in A. After 195 that, we add a point  $y \in \mathbb{R}^d$  so that the d-simplex that is the pyramid with apex y and base 196  $\Delta$  covers x, and all other k points in  $\Delta^+$  are inside the circumsphere of this d-simplex. We 197 also require that y is outside all circumspheres of d+1 points in A. It is clear that such a 198 point y exists on a sufficiently large sphere that passes through all vertices of  $\Delta$ . As proved 199 above, there are at most  $\binom{d+k}{d}$  k-heavy simplices of A" that cover x. The pyramid with apex 200 y and base  $\Delta$  is such a simplex, but it is not a k-heavy simplex of A. Hence, the number of 201 k-heavy simplices of A that cover x is strictly less than  $\binom{d+k}{d}$ , as claimed. 202 4

## 203 2.4 Local Covering

By Theorem 2.2, the k-heavy simplices of a thin Delaunay set cover  $\mathbb{R}^d$  without gap an integer number of times. However, beyond one dimension, it is generally not possible to split such a cover into sub-covers (separate layers) that enjoy the same property. Such splits are however possible locally, even in neighborhoods of the vertices of the simplices.

**Theorem 2.4.** Let  $A \subseteq \mathbb{R}^d$  be a generic thin Delone set and k a non-negative integer. Then the k-heavy simplices of A that share a vertex  $a \in A$  cover any sufficiently small neihgbourhood of a exactly  $\binom{d+k-1}{d-1}$  times.

**Proof.** The case k = 0 is that of the Delaunay triangulation of A. Its top-dimensional simplices cover  $\mathbb{R}^d$  exactly once, and thus also every neighborhood of  $a \in A$  exactly once. We therefore assume k > 0. Let  $A' = A \setminus \{a\}$ . Observe that A' is also a generic thin Delone set and a is generic with respect to A'. We can therefore apply Theorem 2.2 to the (k-1)-heavy simplices of A' that contain  $a \in \mathbb{R}^d$ . There are only finitely many such simplices and each of them contains a small neighborhood of a.

Let y be a point in a sufficiently small neighborhood of a that is inside the intersection of 217 all (k-1)-heavy simplices of A' that contain a, and assume that y is generic with respect 218 to A. By Theorem 2.2, there are exactly  $\binom{d+k}{d}$  k-heavy simplices of A that cover y. They 219 split into simplices incident and not incident to a. The latter are the (k-1)-heavy simplices 220 221 of A' that contain a. Indeed, since y is sufficiently close to a, a k-heavy simplex of A with vertices in A' contains a in its interior iff it contains y in its interior. The circumsphere of 222 each such simplex encloses exactly k-1 points of A' as we removed a from A to get A'. This 223 implies that the number of k-heavy simplices of A that contain y and are not incident to a is 224  $\binom{d+k-1}{d}$ . Thus, the number of k-heavy simplices of A that contain y and are incident to a is 225

$$^{226} \qquad \begin{pmatrix} d+k\\ d \end{pmatrix} - \begin{pmatrix} d+k-1\\ d \end{pmatrix} = \begin{pmatrix} d+k-1\\ d-1 \end{pmatrix},$$
(1)

227 as claimed.

Similar to Theorem 2.3, we establish an inequality for finite sets that follows from Theorem 2.4. We are satisfied with a less refined statement than formulated for the global case in Section 2.3. ▶ Corollary 2.5. Let  $A \subseteq \mathbb{R}^d$  be finite and generic and k be a non-negative integer. Then every generic point in a small neighborhood of any point  $a \in A$  belongs to at most  $\binom{d+k-1}{d-1}$ k-heavy simplices of A incident to a.

# 234 **3** Applications

We discuss two applications of Theorem 2.2: its relation to volumes of hypersimplices and Eulerian numbers in Section 3.1, and the connection to k-sets and k-facets in Section 3.2.

### <sup>237</sup> 3.1 Worpitzky's Identity for Eulerian Numbers

As an application of our covering results, we show how the multiplicities from Theorem 2.2 are related to the volumes of hypersimplices and to Eulerian numbers. Specifically, we show that de Laplace's relation for hypersimplices [7] implies Worpitzky's identity for Eulerian numbers [13], and vice versa.

We begin by introducing the three main concepts we need in this subsection. Letting dbe a positive integer, the number of *descents* in a permutation  $j_1, j_2, \ldots, j_d$  of  $1, 2, \ldots, d$  is the number of indices  $1 \le i \le d-1$  such that  $j_i > j_{i+1}$ . For  $0 \le k \le d-1$ , the *Eulerian number* for d and k is the number of permutations with exactly k descents. For example, A(d, 0) = A(d, d-1) = 1, for every d, and  $\sum_{k=0}^{d-1} A(d, k) = d!$ . Less obvious is Worpitzky's identity [13] between two polynomials from more than a century ago:

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$$\sum_{k=0}^{d-1} A(d,k) \binom{x+k}{d} = x^d.$$
 (2)

Next, let  $x_0, x_1, \ldots, x_d$  be d+1 affinely independent points and write  $\Delta = \operatorname{conv} \{x_0, x_1, \ldots, x_d\}$ 249 for the d-simplex they span. For each  $1 \le p \le d$ , the convex hull of the p-fold sums of the 250 points is a d-dimensional convex polytope referred to as a d-hypersimplex of order p, denoted 251  $\Delta_d^{(p)}$ . Clearly,  $\Delta_d^{(1)} = \Delta$ , and more generally,  $\Delta_d^{(p)}$  is a homothetic copy of the convex hull of 252 the barycenters of all (p-1)-dimensional faces of  $\Delta$ . Since the barycenter of a (p-1)-simplex 253 is 1/p times the sum of its vertices, the volume of that polytope is  $1/p^d$  times the volume of the 254 homothetic hypersimplex. Define the relative volume of  $\Delta_d^{(p)}$  as  $v(d, p) = \operatorname{vol}_d(\Delta_d^{(p)})/\operatorname{vol}_d(\Delta)$ , 255 and observe that it does not depend on the choice of  $\Delta$ . Again more than a century ago, de 256 Laplace [7] proved that these relative volumes are Eulerian numbers: 257

$$v(d, k+1) = A(d, k);$$
 (3)

see also the combinatorial proof of the same equation by Stanley [10]. The third concept 259 is the dual of the order-*n* Voronoi tessellation of a finite set  $A \subseteq \mathbb{R}^d$ , introduced in 1990 260 by Aurenhammer [2]. Referring to this dual as the order-n Delaunay mosaic of A, denoted 261  $Del_n(A)$ , it is defined by its d-cells, each the convex hull of a collection of averages of n points 262 selected from A. Specifically, for each  $1 \le p \le d$  and every (n-p)-heavy simplex, take all 263 sets of cardinality n that contain all n-p points inside the circumsphere together with any 264 p points on the circumsphere. E.g. for p = 1, we get d + 1 averages whose convex hull is a 265 homothetic copy of the original d-simplex, and for p = 2, we get a homothetic copy of the 266 convex hull of the midpoints of the edges of the *d*-simplex. Collecting these polytopes, we 267 get  $\operatorname{Del}_n(A)$ . For n = 1, we have  $\operatorname{Del}_1(A) = \operatorname{Del}(A)$ , and more generally  $\operatorname{Del}_n(A)$  has a d-cell 268 for every (n-p)-heavy simplex in which p varies from 1 to d. Since the vertices are averages 269 of n points, each d-cell in  $\text{Del}_n(A)$  has volume  $1/n^d$  times the volume of the corresponding 270 hypersimplex. It is now easy to prove the following relation for the relative volumes of the 271 hypersimplices. 272

**Theorem 3.1.** For integers  $d, n \ge 1$ , the relative volumes of the hypersimplices satisfy

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$$\sum_{p=1}^{d} v(d,p) \binom{n+d-p}{n-p} = n^d,$$
 (4)

in which  $\binom{n+d-p}{n-p} = 0$  whenever n-p < 0.

**Proof.** Let A be any Delaunay set—and not just a thin Delaunay set—in  $\mathbb{R}^d$ , so that every ball whose radius exceeds some given constant contains at least one point of A. Let R > 0 be sufficiently large and consider all d-cells in  $\text{Del}_n(A)$  that are contained in  $[-R, R]^d$ . Setting  $n' = \max\{0, n - d\}$ , there are  $n - n' \leq d$  different types of d-cells to be considered, namely homothetic copies of hypersimplices of orders 1 to n - n' defined by (n - p)-heavy simplices for  $1 \leq p \leq n - n'$ . The total volume of these d-cells is  $(2R)^d + \mathcal{O}(R^{d-1})$ , since we miss only a constant width neighborhood of each facet of the hypercube.

Consider now an (n-p)-heavy simplex of A. Generically, its circumsphere passes through 283 d+1 points and encloses n-p of the points in A. By definition of Delaunay set, the radius 284 of this circumsphere is bounded from above by a constant times n - p. Furthermore, the 285 (n-p)-heavy simplex contains every d-cell in  $\mathrm{Del}_n(A)$  it may determine since the vertices 286 of the latter are averages of the vertices of the (n - p)-heavy simplex. By Theorem 2.2, 287 the (n-p)-heavy simplices that define d-cells of  $\text{Del}_n(A)$  inside the hypercube therefore 288 cover most of the hypercube exactly  $\binom{n+d-p}{n-p}$  times. It follows that the total volume of these *p*-heavy simplices is  $\binom{n+d-p}{n-p}(2R)^d + \mathcal{O}(R^{d-1})$ . By definition of relative volume, the 289 290 total volume of the corresponding hypersimplices thus is  $v(d, p) \binom{n+d-p}{n-p} (2R)^d / n^d + \mathcal{O}\left(R^{d-1}\right)$ . 291 Taking the sum for  $1 \le p \le n - n'$ , dividing by  $(2R)^d$ , and taking the limit as R goes to 292 infinity, we get the claimed relation. 293

To see that Theorem 3.1 together with de Laplace's relation implies Worpitzky's identity and together with Worpitzky's identity implies de Laplace's relation, we change the summation index in (4) from p to k = d - p and apply  $\binom{n+k}{n-d+k} = \binom{n+k}{d}$  to get

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$$\sum_{k=0}^{d-1} v(d, d-k) \binom{n+k}{d} = n^d.$$
 (5)

Since this relation holds for every positive integer, n, it also holds if we treat  $\binom{n+k}{d}$  and  $n^d$ as polynomials of degree d in n. Substituting A(d,k) = A(d,d-k-1) for v(d,d-k) using de Laplace's relation (3), we get Worpitzky's identity (2). To see the other direction, we observe that the polynomials given by the binomial coefficients are linearly independent, so there is only one way to write  $n^d$  as their linear combination, and it is given by Worpitzky's identity. Comparing (5) with (2), we get v(d, d-k) = A(d, k) = A(d, d-k-1), which is (3).

# 304 3.2 *k*-sets and *k*-facets

In this section, we briefly discuss connections between the covering numbers studied in Section 2 and the k-sets and k-facets studied in discrete geometry; see e.g. [8, Chapter 11]. Letting A be a generic set of n points in  $\mathbb{R}^d$ , a k-set is a set of k points,  $B \subseteq A$ , such that B and  $A \setminus B$  can be separated by a hyperplane, and a k-facet is a set of d points,  $\Delta \subseteq A$ , such that the hyperplane passing through these points partitions  $A \setminus \Delta$  into k and n - d - kpoints on its two sides. We refer to [11, Section 2.2] for a discussion of the relation between these two concepts.

We present alternative proofs of two well-established results, which we state in terms of k-facets. Both proofs make use of the *inversion* of A through the unit sphere centered at a

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point  $x \in \mathbb{R}^d \setminus A$ , which maps a point  $a \in \mathbb{R}^d \setminus \{x\}$  to  $\iota_x(a) = x + (a-x)/||a-x||^2$ . It is not difficult to see that the image under this inversion of a hyperplane that avoids x is a sphere that passes through x. Similarly, the image of the open half-space that does not contain xis the open ball bounded by this sphere. If the hyperplane passes through the points of a k-facet,  $\Delta \subseteq A$ , and separates x from the k-set on the other side, then the d-simplex spanned by x and the points in  $\iota_x(\Delta)$  is a k-heavy simplex of  $A' = \iota_x(A) \cup \{x\}$  incident to x.

Write  $F_k(A)$  for the number of k-facets of A. We first reprove the following 2-dimensional result by Alon and Györi [1] for k smaller than a third of the number of points.

Proposition 3.2. Let A be a generic set of n points in  $\mathbb{R}^2$  and  $k < \frac{n}{3}$  a non-negative integer. Then  $\sum_{j=0}^{k} F_j(A) \leq (k+1)n$ .

**Proof.** Recall that the k-hull of A is the intersection of all closed half-spaces that miss at 324 most k points of A, denoted  $H_k(A)$ . By the Centerpoint Theorem of discrete geometry,  $H_k(A)$ 325 has a non-empty interior if  $k < \frac{n}{3}$ ; see e.g. [5, Section 4.1]. Let x be a point in the interior of 326  $H_k(A)$  and set  $A' = \iota_x(A) \cup \{x\}$ . Let  $j \leq k$ . As explained above, the inversion through the 327 unit circle centered at x maps every j-facet of A to a j-heavy triangle of A' incident to x. By 328 Corollary 2.5, the *j*-heavy triangles incident to x cover a small neighborhood of x at most 329 j + 1 times, so if we consider all j between 0 and k, we get the neighborhood of x covered at 330 most  $1 + 2 + \ldots + (k + 1) = \binom{k+2}{2}$  times. 331

To continue, we draw a half-line emanating from x through every point in  $\iota_x(A)$ , thus splitting the neighborhood of x into n angles. Every heavy triangle incident to x covers a contiguous sequence of these angles, and for each  $i \ge 1$ , there are at most n triangles that cover exactly i of these angles. Each angle is covered some integer number of times, and we take the sum of these numbers over all angles. If  $\sum_{j=0}^{k} F_j(A) > (k+1)n$ , then this sum is strictly greater than  $n(1+2+\ldots+(k+1)) = n\binom{k+2}{2}$ . This implies that one of the angles is covered more than  $\binom{k+2}{2}$  times, which contradicts Corollary 2.5.

We continue with Lovász Lemma—see [3] but also [8, Lemma 11.3.2]—which is a crucial ingredient in many arguments about k-sets; see e.g. [9]. This lemma gives an asymptotic upper bound on the number of (d-1)-simplices spanned by the points of k-facets a line can intersect. We give a short proof of a more general version by Welzl [12]. To state the lemma, we say a directed line, L, enters a k-facet,  $\Delta \subseteq A$ , if L intersects the (d-1)-simplex spanned by  $\Delta$  at an interior point and moves from the side with k points to the side with n - d - kpoints as it passes through the (d-1)-simplex.

Proposition 3.3. Let  $A \subseteq \mathbb{R}^d$  be a generic finite set, k a non-negative integer, and L a directed line. Then L enters at most  $\binom{d+k-1}{d-1}$  k-facets of A.

Proof. We may assume that L passes through the convex hull of A and let  $x \in L$  be a point reached after passing through conv A. The inversion through the unit sphere centered at maps every k-facet entered by L to a k-heavy simplex incident to x such that L passes through this simplex before it reaches x. By Corollary 2.5, there are at most  $\binom{d+k-1}{d-1}$  such k-heavy simplices.

Since a line can be directed in two different ways, the number of (d-1)-simplices spanned by k-facets of the set A one orientation of this line or the other can enter is at most  $2\binom{d+k-1}{d-1}$ . 355

# 4 Concluding Remarks

The results on covering numbers presented in Section 2 extend to other settings of which we mention three:

- <sup>358</sup> locally finite sets in hyperbolic space;
- <sup>359</sup> inite sets on the sphere;
- <sup>360</sup> weighted points in Euclicean space.

A claim about global covering analogous to Theorem 2.2 holds in all three settings, while 361 one about local covering analogous to Theorem 2.4 holds only for the first two. The first 362 setting is most similar to the situation in Euclidean space studied in Section 2: the proofs 363 are almost verbatim the same, with the main difference being a modified radial set since 364 the design illustrated in Figure 2 is not thin Delone in hyperbolic space. For the second 365 setting, there is an ambiguity in the definition of a k-heavy simplex since any (d-1)-sphere 366 on the *d*-sphere bounds two complementary *d*-balls. We thus require that the finite set is 367 k-balanced, by which we mean that every open hemisphere contains at least k+1 points. 368 With this assumption, only the smaller of the two open d-balls has a chance to contain k of 369 the points, so we consider it the inside of the (d-1)-sphere. The proofs of the extensions of 370 Theorems 2.2 and 2.4 to k-balanced sets on the sphere are similar to those for thin Delone 371 sets in Euclidean space. Alternatively, we may use stereographic projection to reduce the 372 spherical to the Euclidean setting. 373

The generalization to points with real weights is aking to the generalization of Voronoi to 374 power tessellations or diagrams; see e.g. [5, Chapter 13]. We interpret a point with weight 375  $w \in \mathbb{R}$  as a sphere with squared radius w (which may be negative), and we generalize the 376 circumsphere of a *d*-simplex to the *orthosphere*, which in the generic case is the unique sphere 377 orthogonal to the d+1 spheres that are the vertices of the d-simplex. The orthosphere 378 encloses a weighted point if the two centers are strictly closer than required for the two 379 spheres to be orthogonal to each other, and the *d*-simplex is *k*-heavy if it encloses exactly 380 k of the weighted points. With these adaptations of the definitions, a claim analogous to 381 Theorem 2.2 holds also in the weighted case, but Theorem 2.4 fails to extend. Indeed, the 382 k-heavy simplices incident to a weighted point cover the neighborhood of the point some 383 integer number of times, but depending on the point and its surrounding, this integer varies 384 between 0 and  $\binom{d+k-1}{d-1}$ . 385

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